

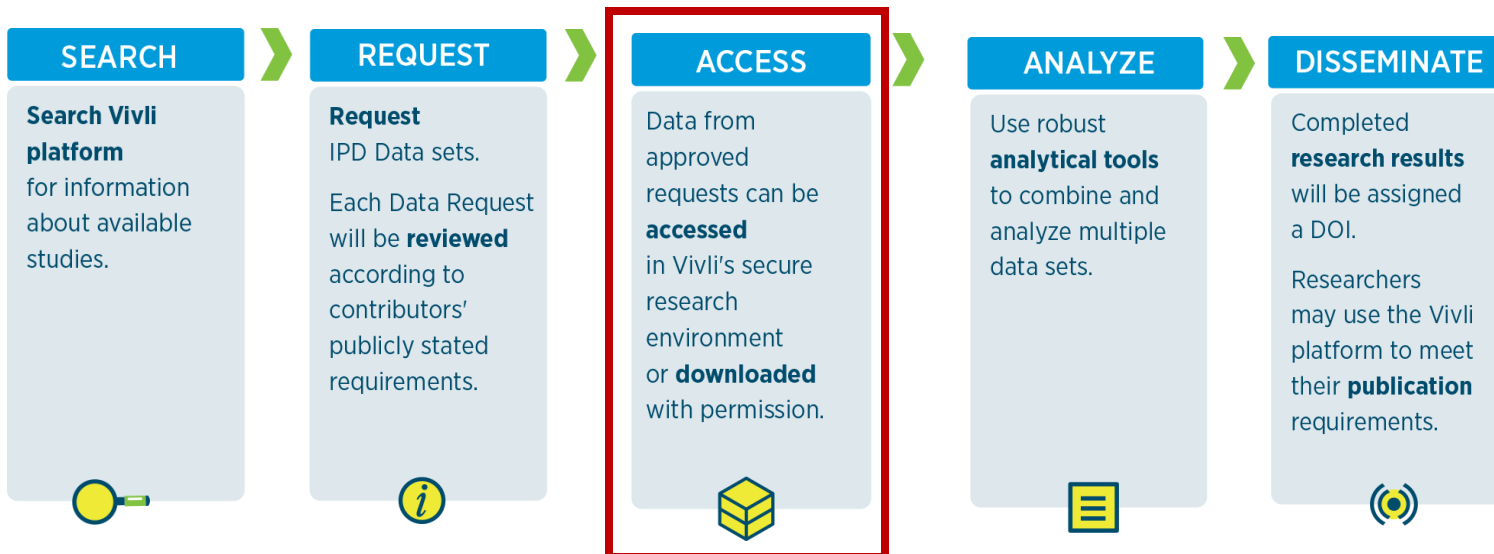


How to Access Data for Analysis and Publication Process

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1.0 Data Access Introduction

Your team's data request has been approved and your Data Use Agreement has been executed. Now that one of the studies from your request has been uploaded, your research team is able to begin analyzing the data. Depending on the access options available on your requested study(ies), you can either download the data ([See section 12.0](#) for more information) or you can access the data via a secure, cloud-based, isolated workspace known as a research environment.

- The Research Environment is a where users have access to various tools to analyze their data and conduct their research, including [R](#), [Python](#), [Jupyter](#), the Microsoft Office suite, STATA, and [SAS \(Academic-license only\)](#) depending on the type of the Research Environment selected. A [complete list of the software](#) included and versions in the Research Environment can be found on the Vivli website resources page.
- The Vivli Research Environment can also accept your own preferred analytical tools if you can provide the license key for the tool, or if it is an open-source tool. Your research team can load R packages from the CRAN repository yourself - R packages in other locations (such as Github) or Python packages can be added by the Vivli Team

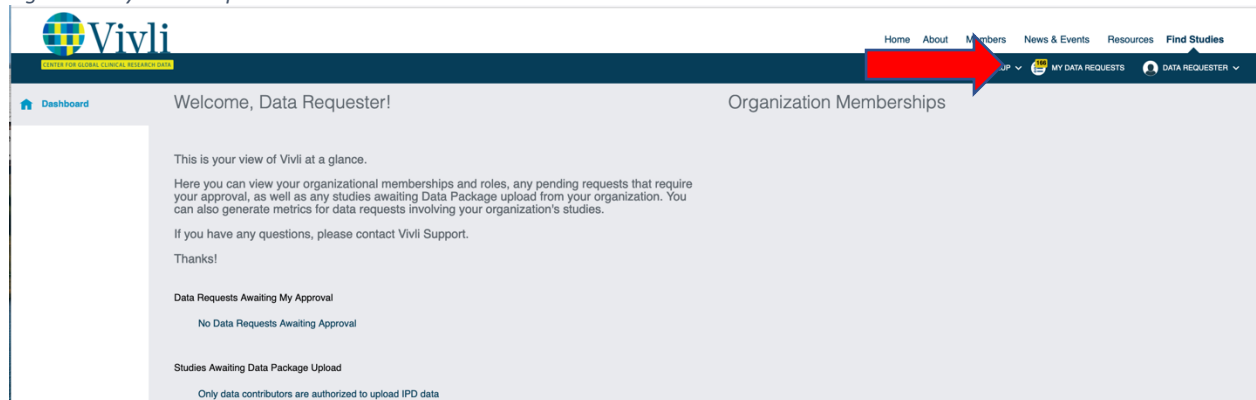
3.0 Getting Started

- Once one of your requested studies data packages has been uploaded, you will be notified via email that your data is available.
- At this point, you may initiate the Secure Research Environment. Please note that only the "Owner" (normally the originator) of the data request can start the Research Environment.

3.1 Locating the Data Request and seeing how many studies are available for analysis

1. Click on **My Data Requests** in the top right corner of the screen:

Figure 2 - My Data Requests



2. The request will appear under **Active**:

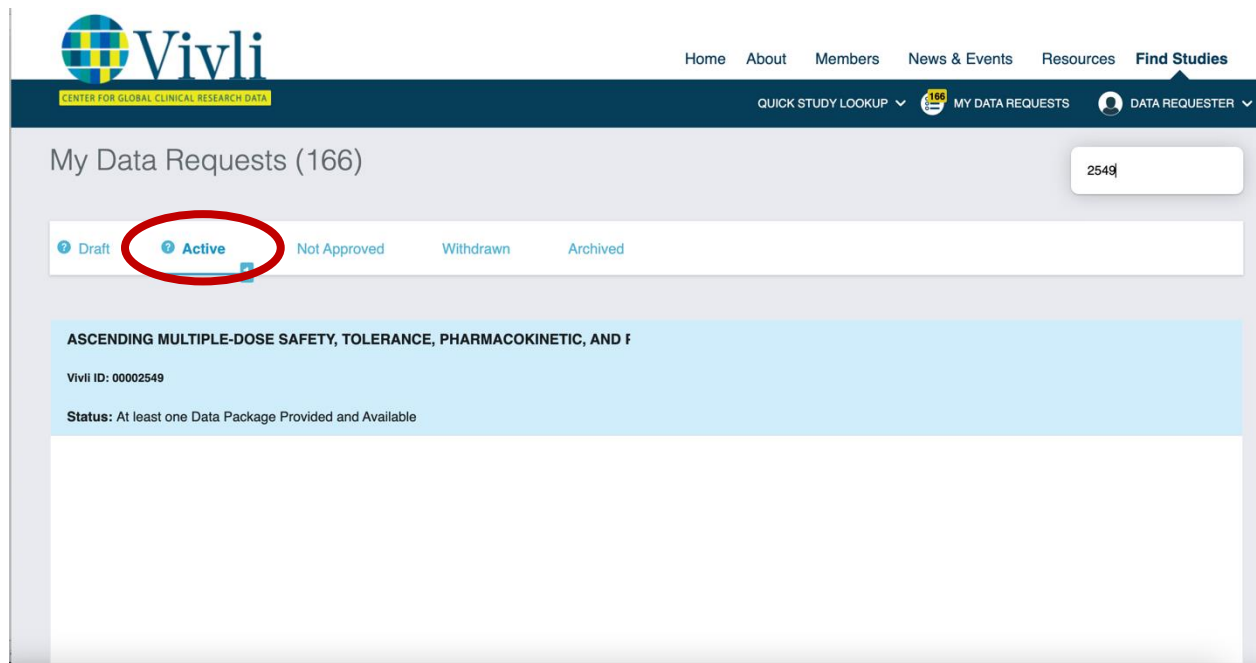


Figure 3 - Fulfilled Requests

3. If the request has been approved, the Data Use Agreement validated and the requested data from at least one requested study is available, the request will appear under “Active”, with a status of “At least one Data Package Provided and Available”

The screenshot shows the Vivli website's 'My Data Requests' section. The header includes the Vivli logo and navigation links: Home, About, Members, News & Events, Resources, and Find Studies. Below the header, there are links for 'QUICK STUDY LOOKUP', 'MY DATA REQUESTS' (with a '166' badge), and 'DATA REQUESTER'. The main heading is 'My Data Requests (166)' with a search bar containing '2549'. A filter bar shows tabs for 'Draft', 'Active' (selected, with a '1' badge), 'Not Approved', 'Withdrawn', and 'Archived'. The main content area displays a request titled 'ASCENDING MULTIPLE-DOSE SAFETY, TOLERANCE, PHARMACOKINETIC, AND F' with 'Vivli ID: 00002549' and a status of 'Status: At least one Data Package Provided and Available'.

Figure 4 – At least one Data Package Provided

To determine **which** studies have been loaded, open the request and click on the Studies tab:

The screenshot shows the 'Studies' tab for the request 'Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodyna...'. The left sidebar contains a 'Studies' section with links: Attachments, Request History, Signed Agreements, Safety Concerns, Chat, Research Team, Research Environment, and Request Details/Print View. The main content area is titled 'VIVLI-LISTED AND PROVISIONED STUDIES' and lists four studies:

Study Title	Status
Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodynam...	Awaiting Data Package upload by Data Contributor
A Patient Preference Evaluation Study of Fluticasone Furoate Nasal Spray and ...	Awaiting Data Package upload by Data Contributor
An Open Label Study of the Efficacy and Safety of Re-treatments With Rituxima...	Data Package Provided to Requestor
An Open Label, Non-comparative Study To Evaluate Parasitological Clearance Ra...	Awaiting Data Package upload by Data Contributor

Below this list is a section titled 'VIVLI-LISTED STUDIES PROVISIONED BY EXTERNAL PROVIDERS'. The footer contains links: How To Guide, Privacy, Cookie Policy, EEA Disclosure Policy, and Contact Us, along with a copyright notice: © Copyright 2017 - 2021 Vivli.

Figure 5 – Data Package Provided to Requestor

If you start the research environment before all of the data is available, then as additional data is made available, it will not automatically appear in the research environment– you will have to request that the new data be added to your research environment– see section “6.2 How to add additional data to your research environment as it becomes available”

3.2 Starting the Secure Research Environment

Once you have opened your request, click on the **Research Environment** tab on the left-hand side of your screen to begin initiating the environment. Please read and acknowledge the Vivli Terms of Use for the Research Environment. Click on **Sign Now**:

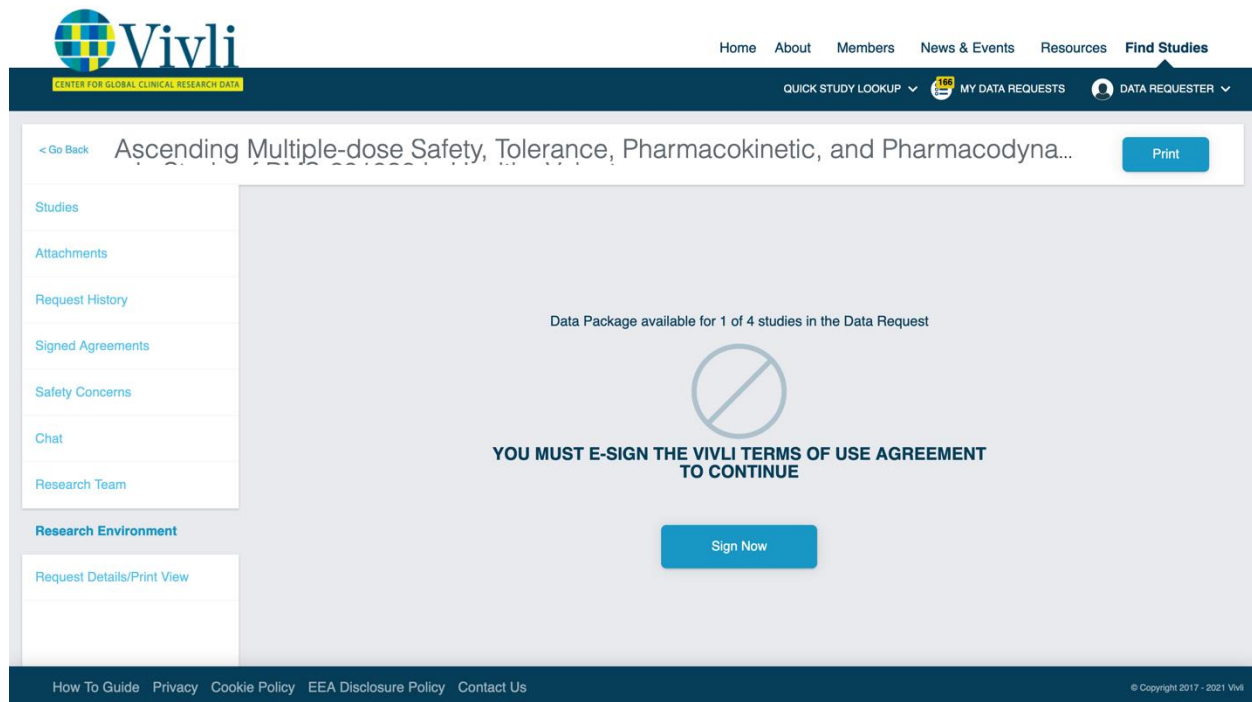


Figure 7 – Start Signing Process

4. The following pop-up window will appear:

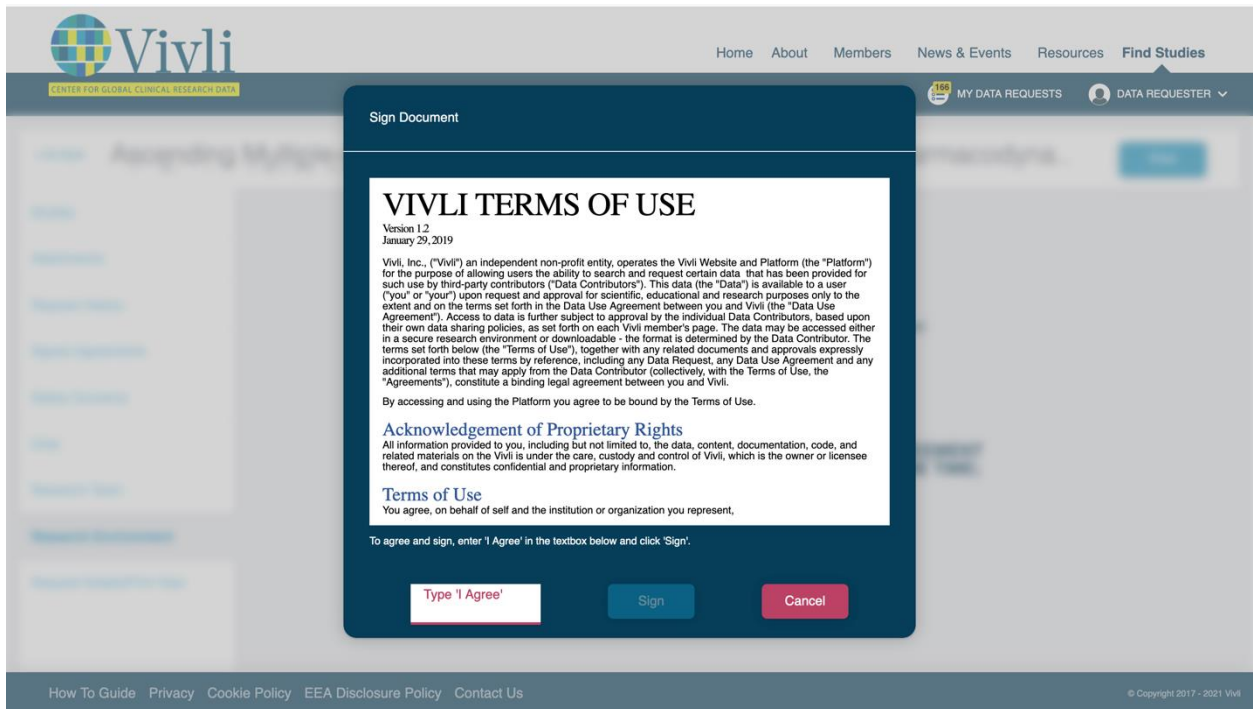


Figure 8 - Terms of Use Pop-Up

5. Type **I Agree** in the dialogue box and click **Sign**.
Each Team member accessing the Research Environment must Sign the Terms of Use when they first access the Research Environment.

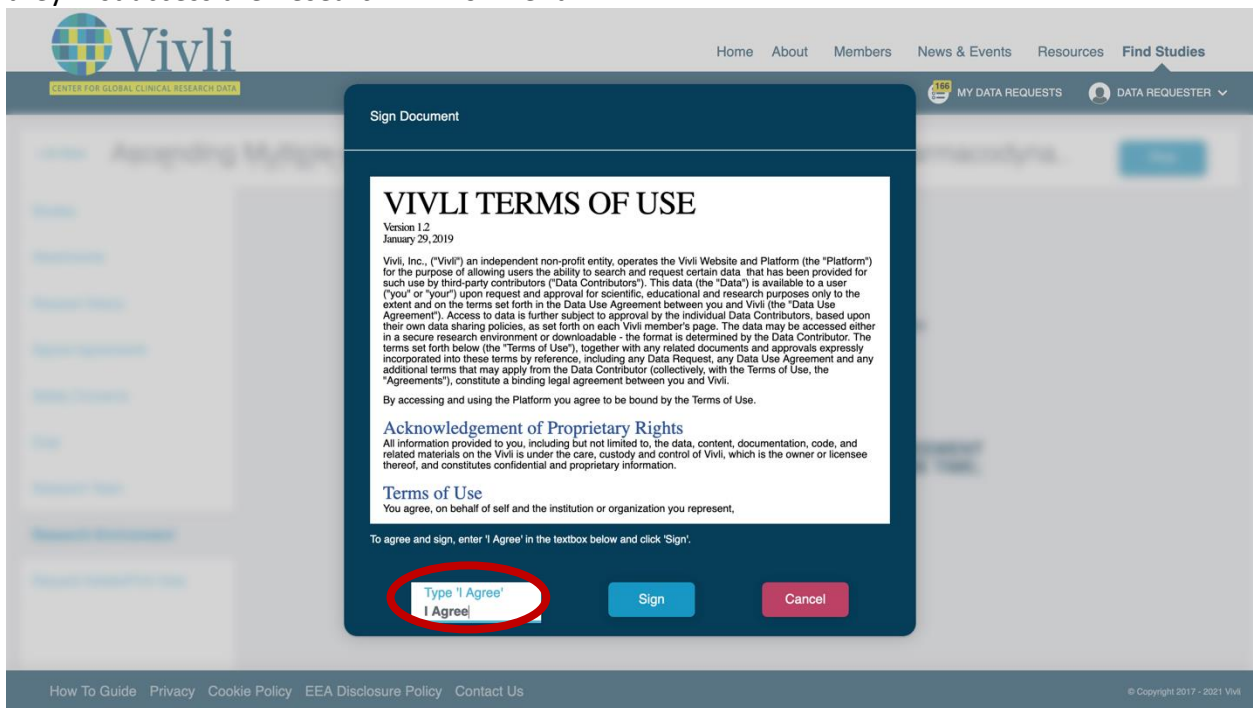


Figure 9 - Terms of Use Read, Acknowledge and Sign

3.3 Secure Research Environment Options

1. After you agree to the Terms of Use, the following options window will appear:

The screenshot shows the 'RESEARCH ENVIRONMENT DETAILS' window. At the top, it states 'Data Package available for 1 of 1 studies in the Data Request' and 'Once the machine is started, the request cannot be sent back for revision'. Below this, a red circle highlights the text 'Before starting the Research Environment, read the short introduction [here](#).' The window is divided into two main sections: 'Advanced Options' (which is currently unchecked) and two columns for 'Standard Environment' and 'Premium Environment'. The 'Standard Environment' table shows an initial cost of 'No charge for 365 days', a cost of '\$12/Day after 365 days, 2 concurrent logins', a machine size of '2CPUx7GB', and support for Jupyter Notebook, Python, R, and STATA. The 'Premium Environment' table shows an initial cost of 'No charge for 90 days', a cost of '\$25/Day after 90 days, 2 concurrent logins', a machine size of '4CPUx14GB', and support for Jupyter Notebook, Python, R, and STATA. At the bottom, there are buttons to 'Start Standard Environment' and 'Start Premium Environment'.

	Standard Environment	Premium Environment
Initial Cost	No charge for 365 days	No charge for 90 days
After Initial Period	\$12/Day after 365 days, 2 concurrent logins	\$25/Day after 90 days, 2 concurrent logins
Machine Size	2CPUx7GB	4CPUx14GB
Jupyter Notebook	✓	✓
Python, R	✓	✓
STATA	<input type="checkbox"/>	<input type="checkbox"/>
Academic license for SAS		<input type="checkbox"/>

2.. Most research teams find that the standard environment meets their needs. If you need a larger environment, the Premium will normally suffice.

2b. If you need a dramatically larger environment, choose Advanced Options for additional environment size types. Please note that if you choose a larger size, you cannot move to a smaller size, but you can start with a smaller size and upgrade to a larger size research environment. In addition, the no charge period will change based upon the larger machine size that is used and will take into account the no charge time already provided. For example, if you move from a standard to a premium, after 90 days, you will not be entitled to a further 90 days of no charge.

The screenshot shows the 'RESEARCH ENVIRONMENT DETAILS' window with 'Advanced Options' checked. It displays five environment options: Premium Environment, Large Environment, Compute Optimized Environment, Memory Optimized Environment, and Compute Optimized. The 'Premium Environment' table shows an initial cost of 'No charge for 90 days', a cost of '\$25/Day after 90 days, 2 concurrent logins', a machine size of '4CPUx14GB', and support for Jupyter Notebook, Python, R, and STATA. The 'Large Environment' table shows an initial cost of '\$65/Day', a cost of '\$65/Day', a machine size of '16CPUx64GB', and support for Jupyter Notebook, Python, R, and STATA. The 'Compute Optimized Environment' table shows an initial cost of '\$125/Day', a cost of '\$125/Day', a machine size of '32CPUx64GB', and support for Jupyter Notebook, Python, R, and STATA. The 'Memory Optimized Environment' table shows an initial cost of '\$275/Day', a cost of '\$275/Day', a machine size of '64CPUx128GB', and support for Jupyter Notebook, Python, R, and STATA. The 'Compute Optimized' table shows an initial cost of '\$220/Day', a cost of '\$220/Day', a machine size of '64CPUx512GB', and support for Jupyter Notebook, Python, R, and STATA. At the bottom, there are buttons to 'Start Premium Environment', 'Start Large Environment', 'Start Optimized Environment', 'Start Memory Optimized Environment', and 'Start Memory Optimized Environment'.

	Premium Environment	Large Environment	Compute Optimized Environment	Memory Optimized Environment	Compute Optimized
Initial Cost	No charge for 90 days	\$65/Day	\$125/Day	\$275/Day	\$220/Day
After Initial Period	\$25/Day after 90 days, 2 concurrent logins	\$65/Day	\$125/Day	\$275/Day	\$220/Day
Machine Size	4CPUx14GB	16CPUx64GB	32CPUx64GB	64CPUx128GB	64CPUx512GB
Jupyter Notebook	✓	✓	✓	✓	✓
Python, R	✓	✓	✓	✓	✓
STATA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Academic license for SAS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4. Select the research environment appropriate for your needs and check the boxes as desired for the software you require and click the button "Start Environment".

As you consider which environment to choose, if you don't want to use SAS, but if the data is provided as SAS data, the system includes three R-studio packages that can help:

- Foreign
- Haven
- SAS7bdat

When you click the "Start..." button, your selection is final, and the provisioning will begin. For more information, contact support@vivli.org.

3.4 Initiating the Secure Research Environment

1. After selecting the size of the environment, the data will be provisioned into the Secure Research Environment. While provisioning is taking place, the following screen will appear:

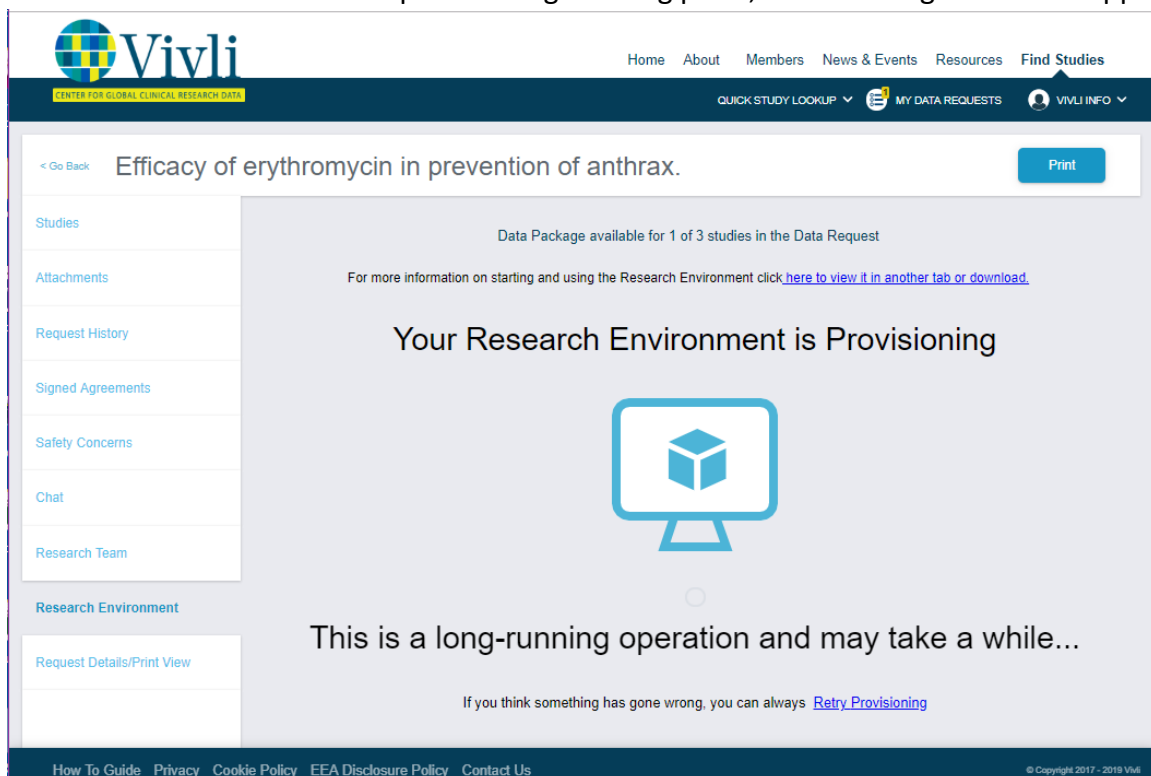


Figure 11 - Provisioning notification

2. While the system is provisioning, you can exit this screen and allow the provisioning to proceed in the background. You will receive an email when the process is complete. This process typically takes 20-25 minutes.

4.0 Access to the Environment

4.1 Accessing the Secure Research Environment

1. Once the provisioning is complete, you will see the screen below:

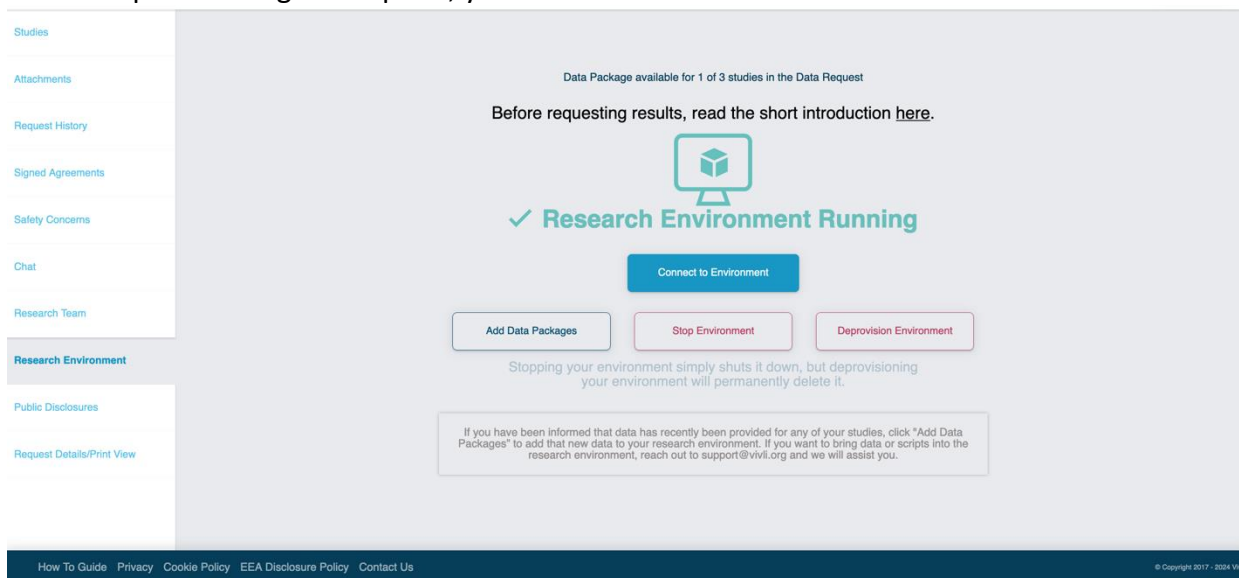


Figure 12 - Initiate Research Environment

2. Then click on the box “Connect to Environment”

2. Connect to Environment

3. After clicking on "Connect to environment," the research environment will load in a new browser tab.
4. The secure research environment home screen opens automatically:



Figure 16 - Secure Research Environment Home Screen

- Note that the first time you connect to the Research Environment, the system will be slower than normal as the system does some first-time configuration.

4.2 Working in the Research Environment

4.2.1 Finding your data and using space

1. Open Disk V:

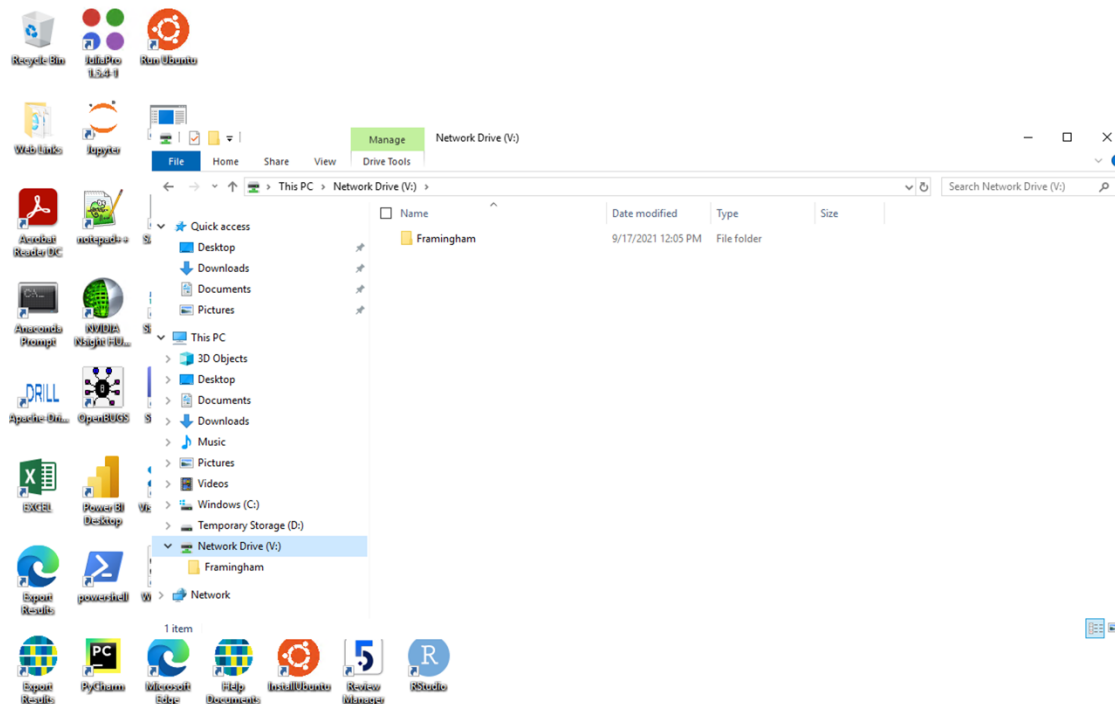


Figure 24 - Open source data

2. In the Network Drive (drive V:) you will find one folder for each study that has been provided –the folder name will be the sponsor-assigned ID. Unlisted studies will appear with the ID you used to request the study. Within the ID, some characters that are not allowed for filenames will be replaced by an underscore character, including `\.?**<>|`

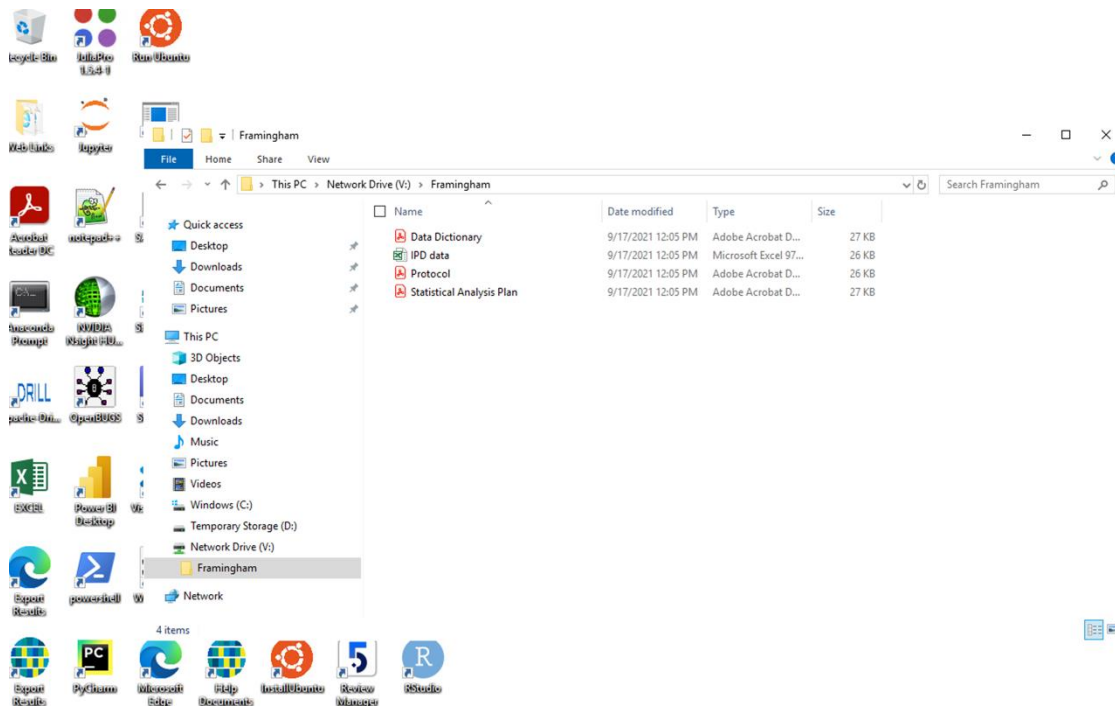


Figure 25 - Open source data – files

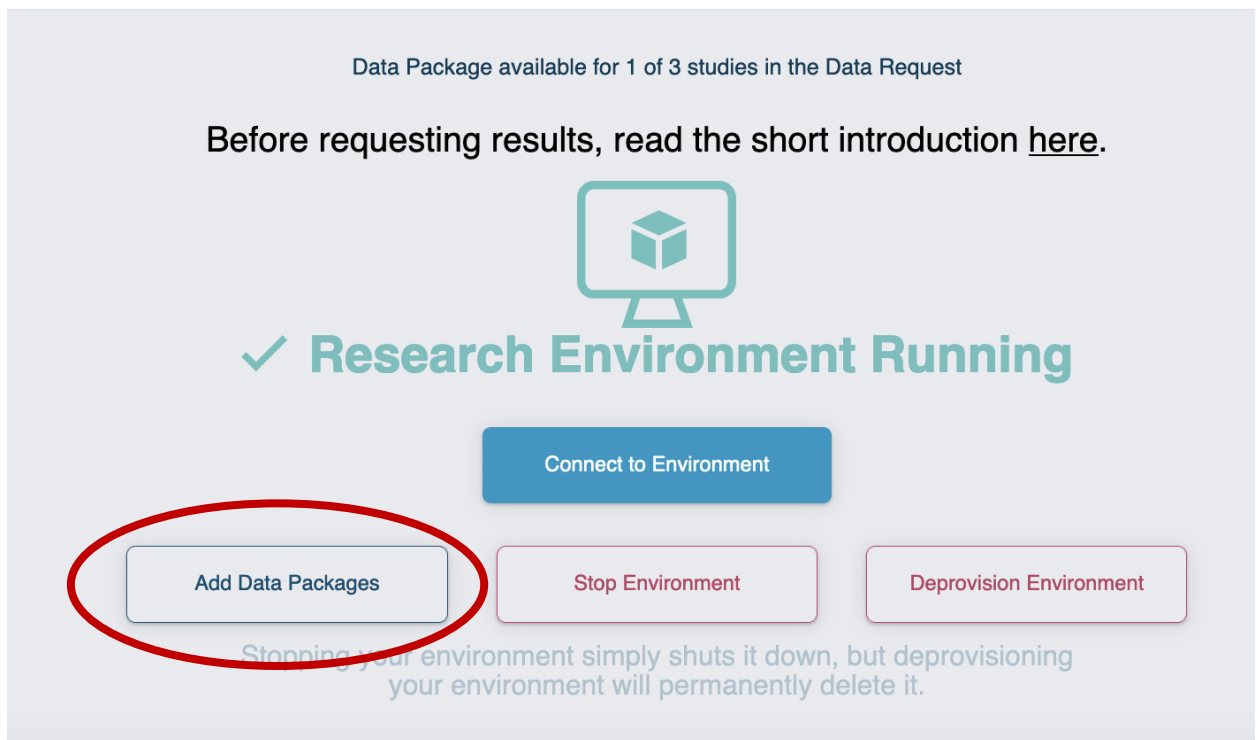
Do not place any important data onto the temporary storage drive D:– when the machine is stopped, even temporarily, the temporary storage will be deleted.

If the data has been provided in the form of a zip file, you can open the file as though it were a folder, and read the files in the zip file, but you will not be able to modify them in-place. To modify the files, you will need to copy the file(s) to a new, un-zipped folder. Alternatively, if you drag the icon representing the zip file onto another folder, 7-zip will offer to extract all of the files.

Additional network space will expand as you add files, up to a terabyte. We recommend that you not put anything but transient data onto C: drive. Data on the V: drive will be placed into long-term storage after you have completed your analysis. If you have questions about the data and what has been provided, use the Chat function within the Vivli platform or email support@vivli.org; Please direct questions about the source data to the data contributors. Responses to questions about the source data is at the discretion of the data contributor.

4.2.2 If you started before all of the data was available

If you have decided to start before **all** of the data is available, then when additional data is provided by the contributor, it won't be added to your research environment automatically. [See Section 6.0](#) for instructions on how to load newly provided data.



4.2.3 Additional Tips for working in the Research Environment

4.3 Disconnecting from the research environment

- When you are done working with the research environment, unless you are leaving a long-running analysis running, we recommend that you Sign Out – this will free up memory and will allow other members of the research team to use the research environment.

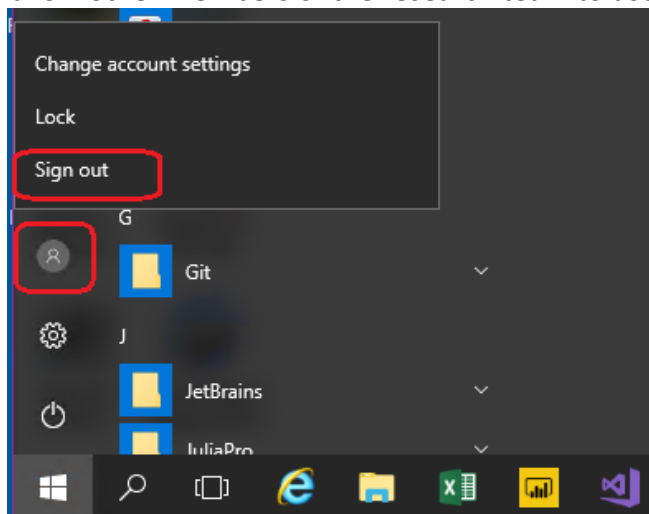


Figure 17 – How to Sign-out

- Only two team members can use the Secure Research Environment at a time. If a third member tries to log on, they will be given the option of disconnecting a team member:

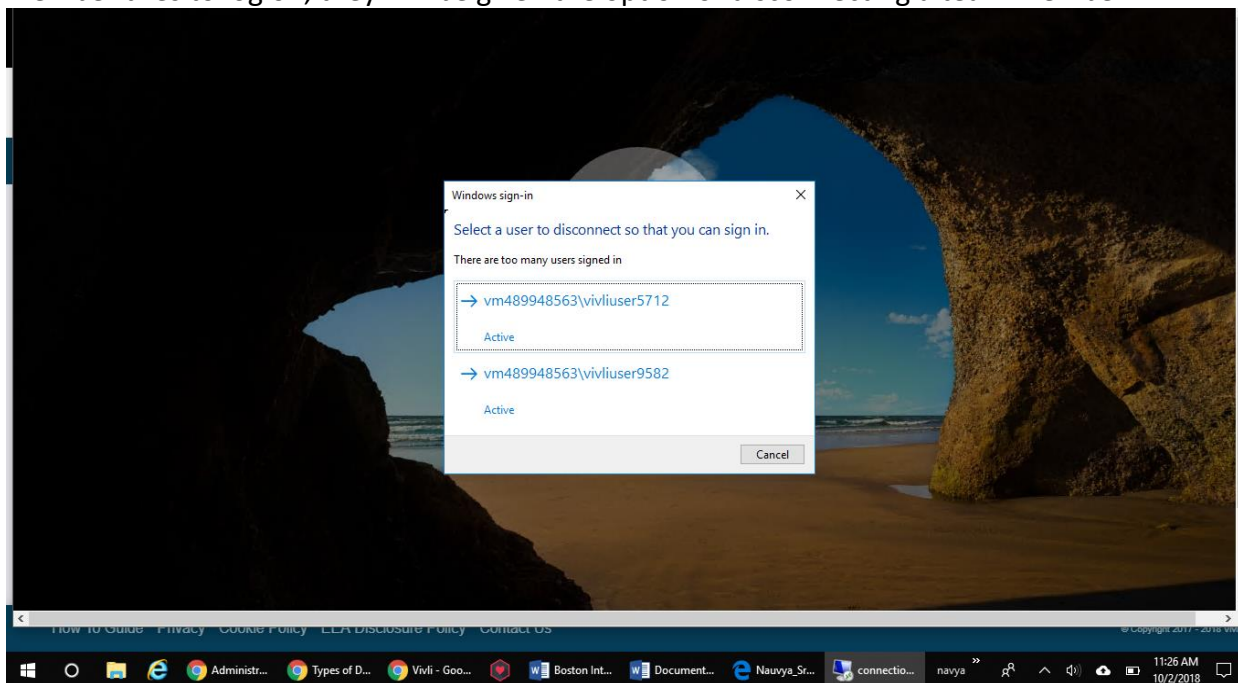


Figure 18 - too many users signed on

Figure 19 - disconnecting user

- The user in the research environment will receive the following message:

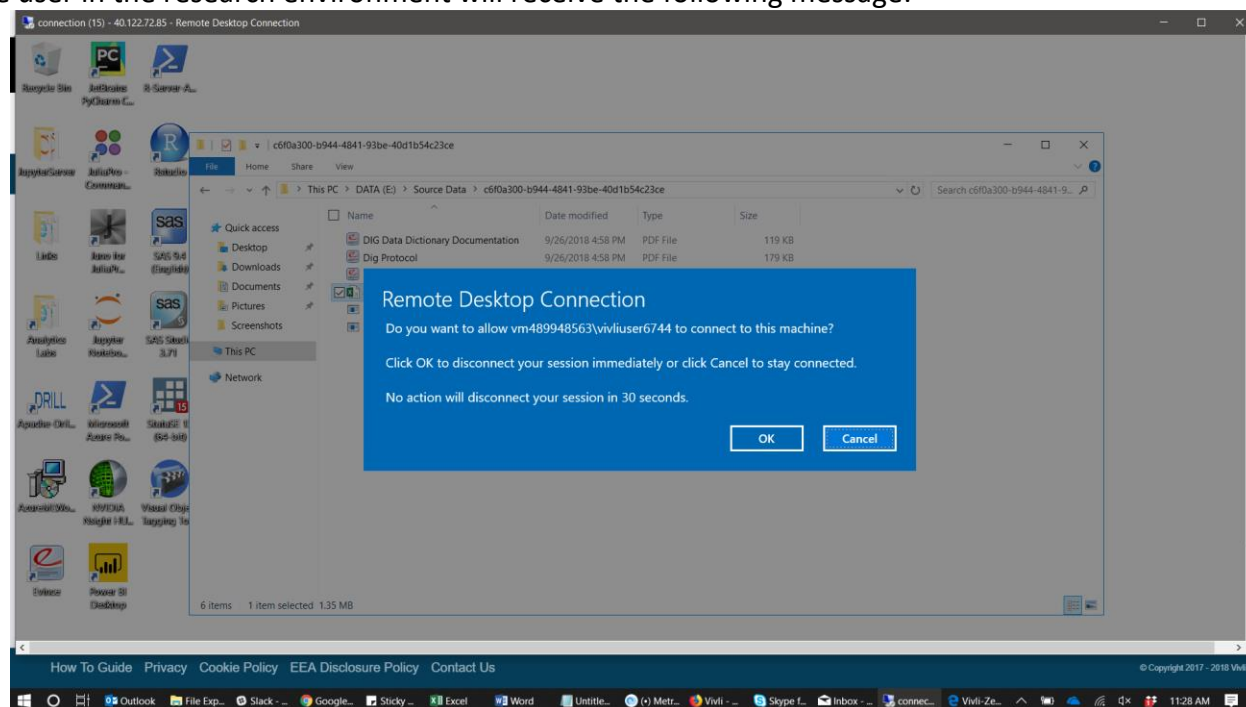


Figure 20 - change user notification

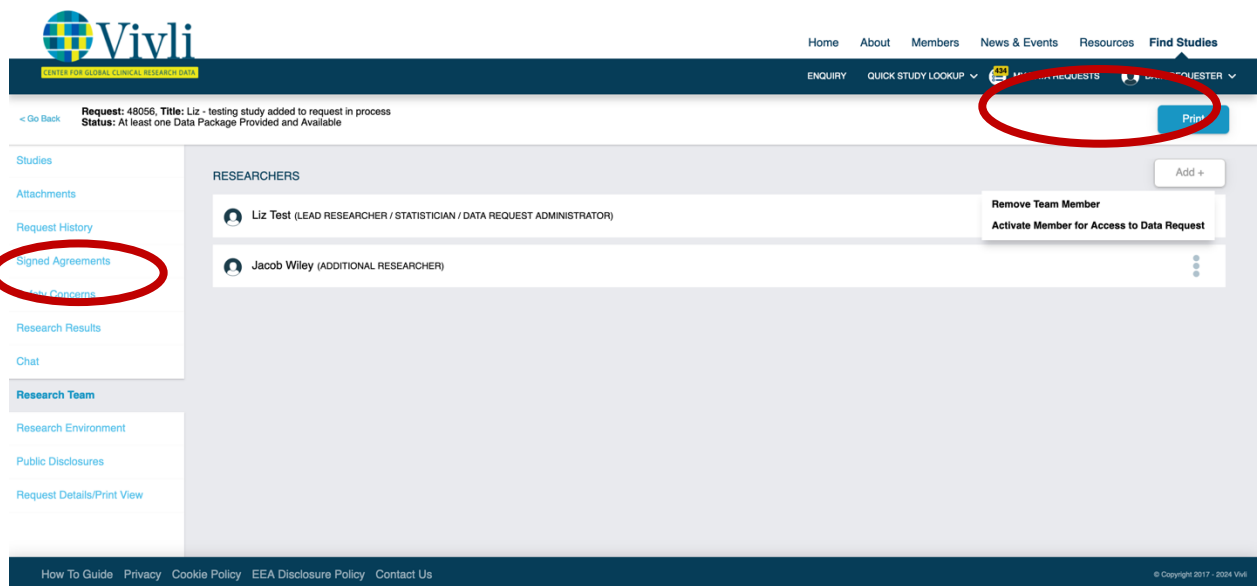
- The user in the research environment must click **OK** to disconnect and allow their team member access. Save your work regularly, and especially before disconnecting.

4.4 Team Access to Secure Research Environment

- All members of the research team working in the research environment must have a signed Data Use Agreement (DUA). If a research team member is part of the same institution as the Principal Investigator, they are covered under the Principal Investigator's DUA. If a team member is from another institution, they must sign a DUA before accessing the research environment.
- The data request administrator may add team members to access the data request, which also grants access to the Research Environment.
- To add a user, the user must first create an account using the "Sign-up" link on the initial page. For information on creating an account, see the [User Quick Start Guide](#)
- Once the Research team member creates a Vivli Account, the data request administrator may activate the team members to access the data request and the research environment.

Figure 21 - change user notification

- When the research team is activated to access the data request, the request will appear on their "My Data Requests" screen and they will be given access to the data request. Once Vivli has validated that they are covered by a signed Data Use Agreement, they will be given access to the research environment.



- To remove a team member who is no longer involved in the project, click on the three dots to the right of their name, and click on “Remove Team Member”

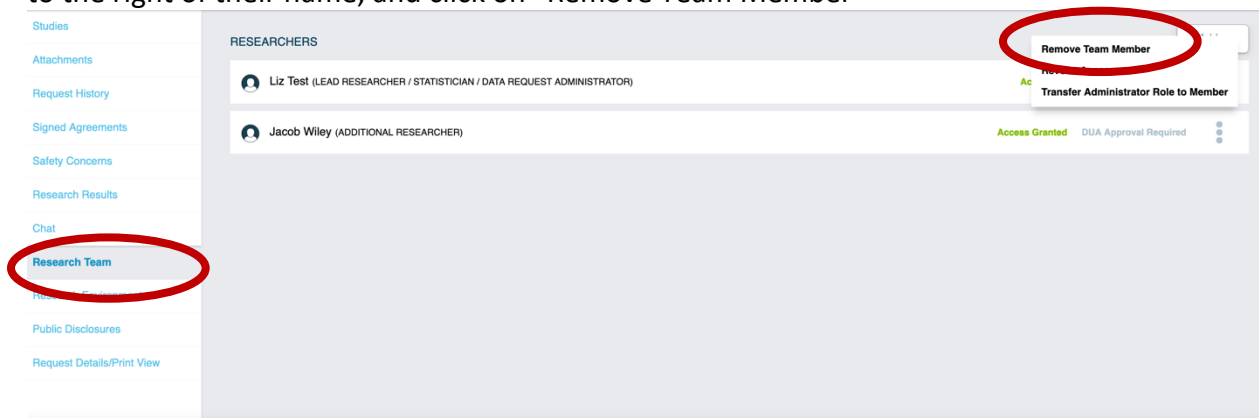


Figure 22 - change user notification

- Reminder: you are unable to change the Principal Investigator or the Lead Statistician. If you need to change the statistician or Principal Investigator, contact support@vivli.org.

4.5 Adding additional software, tools and data during your analysis

During the course of your analysis, if you find that you need to load R scripts from the CRAN repository, you can do that yourself using the following steps:

- To install a CRAN package in R, use the `install.packages()` function. This simple command downloads the package from a specified repository (by default, CRAN) and installs it on your machine.
- If your research environment was provisioned before June 1st, 2023, please reach out to the Vivli Technical Team via support@vivli.org and we will complete a one-time update to point your machine to the latest replica of CRAN.

If you need any other R or Python scripts not pre-installed in the Vivli Research Environment, reach out to Vivli via chat or support@vivli.org and let them know the names of the additional scripts that you require and they will add them to your research environment. Any scripts that you have written or github packages can be emailed to support@vivli.org and they will be added to the research environment; please include the request number of your environment to help Vivli locate the correct environment.

If you have additional software, please email Vivli at support@vivli.org with the name of the software and any license key, if required. If this software was not included in your request, Vivli will need to reach out to the data contributors involved and ask for their agreement to include this software or data.

If you wish to add additional study data that is available on Vivli after your request is approved, you will need to submit a new request. Please note that this process can take an additional 2-5

months for review, approval and uploading of the additional data. Vivli strongly recommends that all studies needed for analysis are included before submitting your data request.



Key factors that influence the timeline:

- If Institution has an existing master DUA with Vivli or needs to execute a Master DUA
- Requesters response time to questions and feedback by data contributors
- Number of studies being requested

5.0 Stopping and Starting the Research Environment

5.1 Stopping or Pausing the research environment

- Stopping the research environment is like powering off your laptop: nothing is lost, and the environment can be restarted quickly (less than 5 minutes).
- If you expect to leave the research environment idle for several days or longer, we recommend that you stop the Research Environment.
- When you are ready to use the Research Environment again, you may restart it and the environment will be restarted with all the saved data as you left it.

To Stop the Research Environment:

1. Login to the Vivli Platform
2. Navigate to the Research Environment, and click on the button “Stop Environment”:

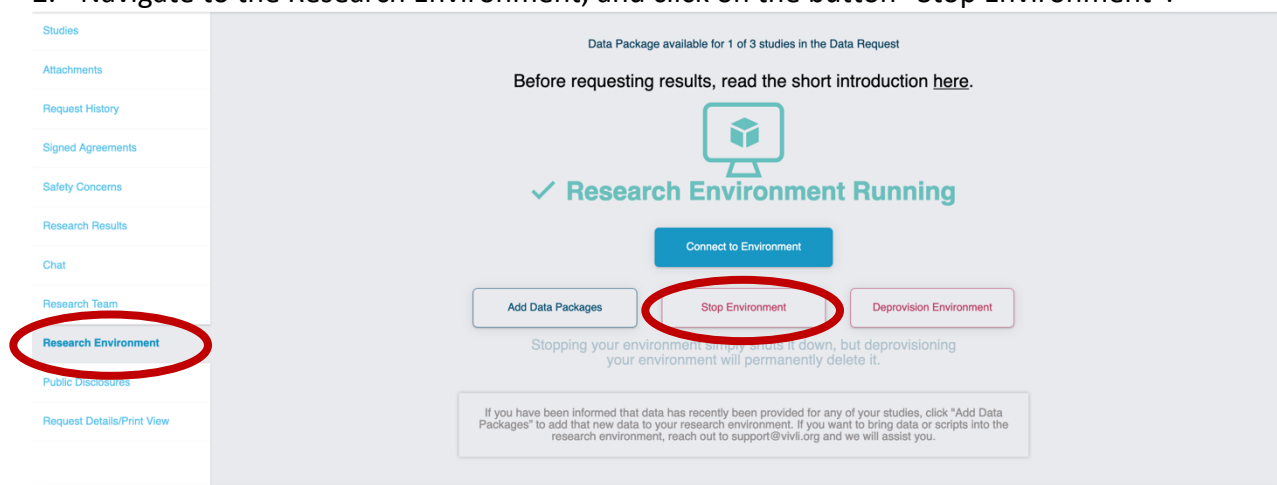


Figure 31 – Stop Research Environment

This will stop the secure research environment.

5.2 Restarting the research environment

To restart the research environment:

1. Login to the Vivli Platform
2. Navigate to the research environment:

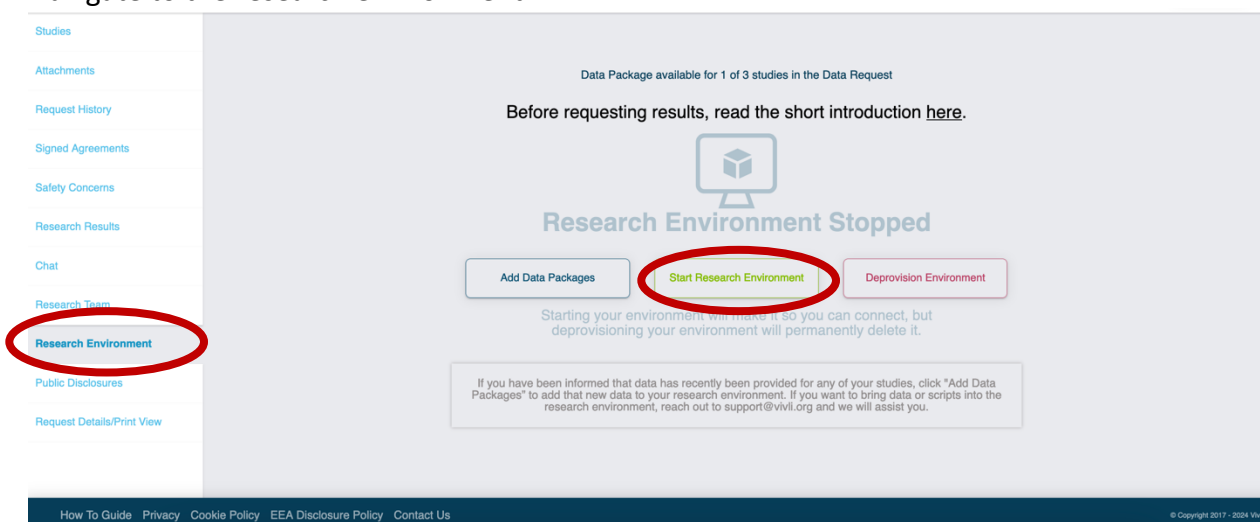


Figure 32 - Start Research Environment

3. Click on **Start Research Environment** – the platform will tell you that the research environment is starting:

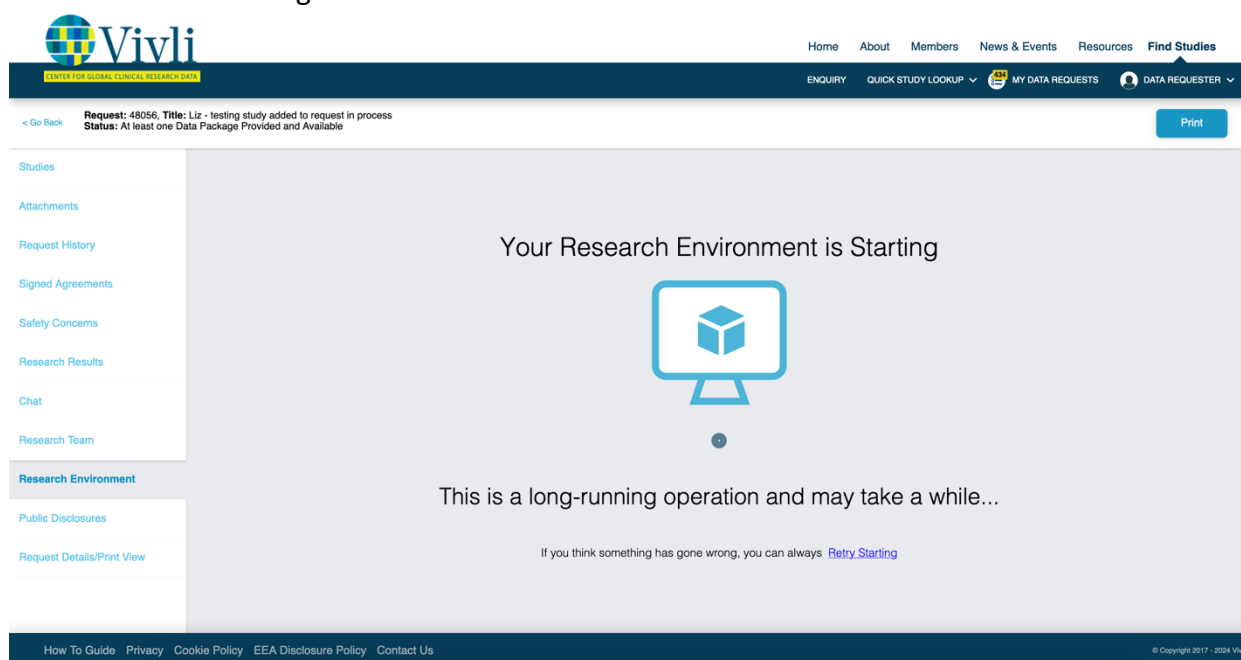


Figure 33 - Research Environment restarting

4. After approximately 5 minutes, the system will display the “Running” screen:

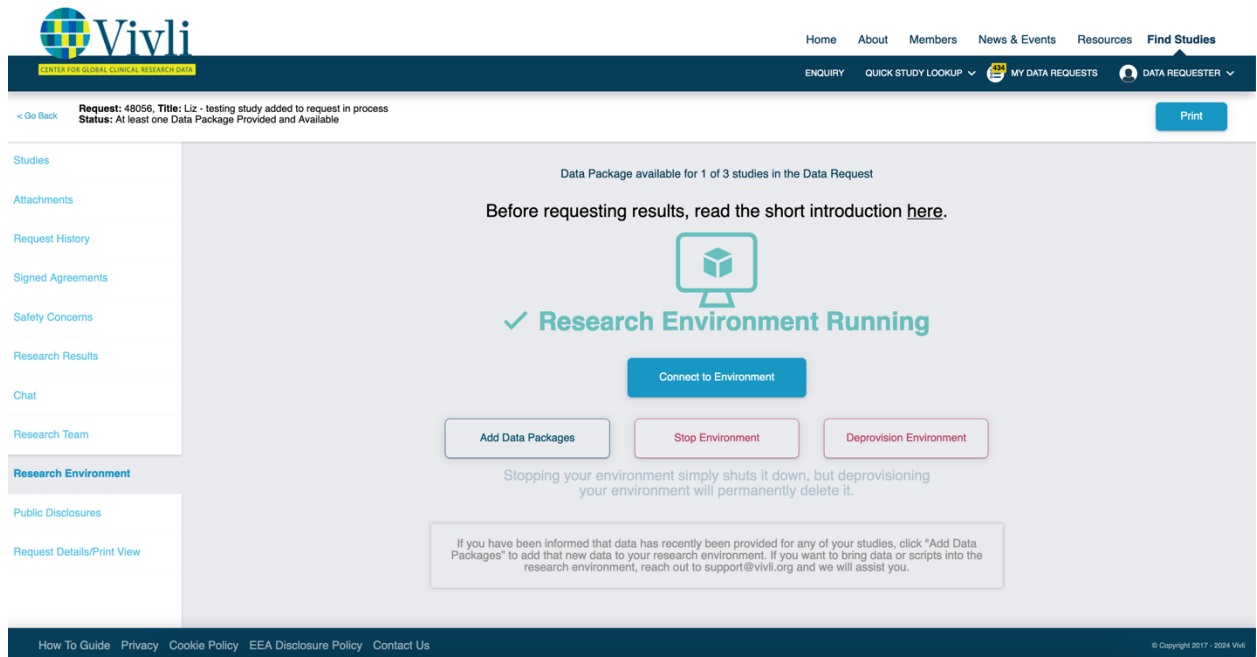


Figure 34 - Research Environment running

After restarting the machine, the first login may take a few minutes.

6.0 Adding Data Packages to the Research Environment

If you started the Research Environment before all the data was provided, then as additional data packages are loaded into the system, they will not be placed into your Research Environment automatically. You will however receive an email notification that additional data has been provided. When you are ready, you can ask the system to add the additional data packages to your Research Environment.

6.1 How will you know when data is available?

As additional data packages are loaded into the system and made available, you will receive an email notification. Within the Vivli Platform, you can look at the “Active” tab, select the request you are interested in:

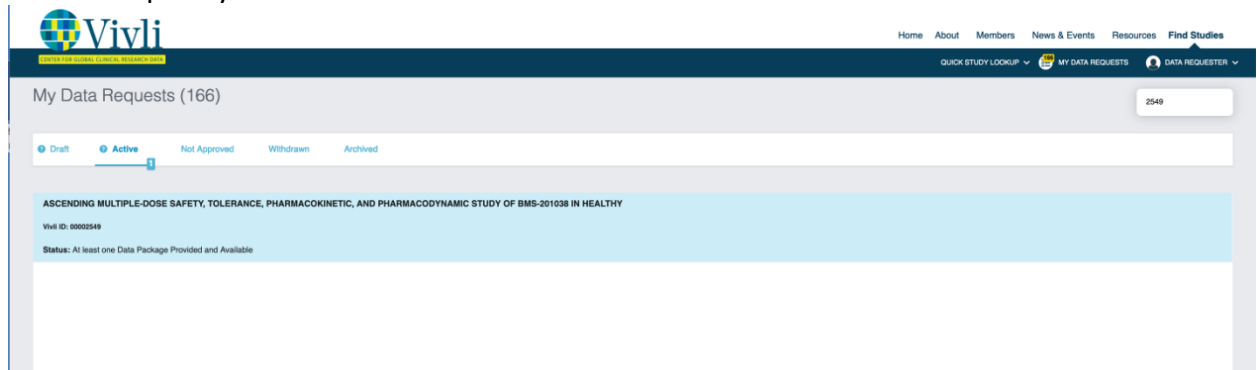


Figure 35 – Available data packages

To determine **which** studies have been uploaded, click on the studies tab, and this page will provide a status of each study:

The screenshot shows the Vivli website interface. The top navigation bar includes links for Home, About, Members, News & Events, Resources, and Find Studies. Below the navigation bar, the page title is "Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodynamic Study of BMS-201038 in Healthy Volunteers". The left sidebar contains a list of tabs: Studies, Attachments, Request History, Signed Agreements, Safety Concerns, Research Results, Chat, Research Team, Research Environment, and Request Details/Print View. The main content area is titled "REQUESTED STUDY TYPES" and shows a list of studies under the heading "VIVLI-LISTED AND PROVISIONED STUDIES". The studies listed are:

- Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodynamic Study of BMS-201038 in Healthy Volunteers (Status: Awaiting Data Package upload by Data Contributor)
- A Patient Preference Evaluation Study of Fluticasone Furoate Nasal Spray and ... (Status: Awaiting Data Package upload by Data Contributor)
- An Open Label Study of the Efficacy and Safety of Re-treatments With Riluzima... (Status: Data Package Provided to Requestor)
- An Open Label, Non-comparative Study To Evaluate Parasitological Clearance Ra... (Status: Awaiting Data Package upload by Data Contributor)

Below the list of studies, there is a section titled "VIVLI-LISTED STUDIES PROVISIONED BY EXTERNAL PROVIDERS" which shows "No Studies Found". At the bottom of the page, there is a footer with links for How To Guide, Privacy, Cookie Policy, EEA Disclosure Policy, and Contact Us.

Figure 36 – Available data packages

6.2 How to add additional data to your research environment as it becomes available

Once you have determined that you are ready to ask the platform to load the additional data into your research environment, open the data request, select the Research Environment tab, and click on the “Add Data Packages” button:

The screenshot shows the Vivli website interface. The top navigation bar includes links for Home, About, Members, News & Events, Resources, and Find Studies. Below the navigation bar, the page title is "Request: 48056, Title: Liz - testing study added to request in process". The left sidebar contains a list of tabs: Studies, Attachments, Request History, Signed Agreements, Safety Concerns, Research Results, Chat, Research Team, Research Environment, and Request Details/Print View. The main content area is titled "Research Environment" and shows a message: "Data Package available for 1 of 3 studies in the Data Request". Below this message, there is a section titled "Before requesting results, read the short introduction [here](#)." and a green checkmark icon with the text "Research Environment Running". Below this, there are three buttons: "Connect to Environment", "Add Data Packages" (which is circled in red), and "Stop Environment". To the right of the "Add Data Packages" button is a button labeled "Deprovision Environment". Below the buttons, there is a text box that says: "If you have been informed that data has recently been provided for any of your studies, click 'Add Data Packages' to add that new data to your research environment. If you want to bring data or scripts into the research environment, reach out to support@vivli.org and we will assist you."

Figure 37 – Add data packages

6.3 Refreshing previously loaded data

The Vivli platform determines what new data should be loaded by looking for an existing folder with the appropriate name: if it finds a folder in the Research Environment with the original folder name for the study, it will leave that existing folder alone. This means that:

- If you have started to make changes to previously loaded data (e.g. for harmonization), your changes will **not** be overwritten.
- If you would like a fresh copy of the data for any reason, you can simply rename the existing folder, and the system will load a fresh copy of the data package.
- If you rename a data folder for any other reason, the system will load a fresh copy of the data using the original folder name. If the extra copy is redundant, delete it.

7.0 Safety Concerns

If you discover any information regarding the safety or risks of a product related to their requested data, you must inform Vivli and the Data Contributor of this discovery within 24 hours, per the terms of the Data Use Agreement.

The steps for reporting safety concerns are as follows:

1. Login to the Vivli Platform.
2. Click on the “Safety Concerns” tab on the dashboard:

The following screen will appear:

The screenshot displays the Vivli platform interface for reporting safety concerns. The top navigation bar includes links for Home, About, Members, News & Events, Resources, and Find Studies. A secondary bar contains links for Quick Study Lookup, My Data Requests, and Data Requester. The main content area is titled 'Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodynamic Study of BMS-201038 in Healthy Volunteers' and includes a 'Print' button. A sidebar on the left lists navigation options: Studies, Attachments, Request History, Signed Agreements, Safety Concerns (selected), Research Results, Chat, Research Team, Research Environment, and Request Details/Print View. The 'Safety Concerns' section contains a form with the following fields: Name (Data Requester), Email Address (Datarequester.vivli@gmail.com), and Phone Number. Below these is a text area for 'Describe the Safety Concern' and a 'Submit Safety Concern' button. A section for 'Previously Submitted Safety Concerns' is visible below the form. The footer contains links for How To Guide, Privacy, Cookie Policy, EEA Disclosure Policy, and Contact Us, along with a copyright notice for 2017-2021.

Figure 39 - Safety Concerns tab

3. Complete the form and click on **Submit Safety Concerns**:

The screenshot displays the Vivli website interface. At the top, the Vivli logo is on the left, and navigation links (Home, About, Members, News & Events, Resources, Find Studies) are on the right. Below the navigation bar, a header section contains a study title: "Ascending Multiple-dose Safety, Tolerance, Pharmacokinetic, and Pharmacodynamic Study of BMS-201038 in Healthy Volunteers". A left sidebar lists various site functions: Studies, Attachments, Request History, Signed Agreements, Safety Concerns, Research Results, Chat, Research Team, Research Environment, and Request Details/Print View. The main content area is titled "Supply your contact information and safety concern description below, then click 'Submit Safety Concern' to continue." It contains a form with fields for Name (Data Requester), Email Address (Datarequester.vivli@gmail.com), and Phone Number (555-555-5555). Below these is a text area for "Describe the Safety Concern" with the placeholder text "Safety concern". A "Submit Safety Concern" button is positioned to the right of the text area. At the bottom of the main area, there is a section for "Previously Submitted Safety Concerns". The footer includes links for How To Guide, Privacy, Cookie Policy, EEA Disclosure Policy, and Contact Us, along with a copyright notice for 2017-2021 Vivli.

Figure 401 - Submit safety concerns

8.0 Exporting Research Results

If you have preliminary or final results from your analysis, you may request the ability to export summary results from the Secure Research Environment. Any custom scripts you may have developed during the research can also be exported with the results. A shortcut to submit this request is on the desktop.



When submitting your request to export results, please note that Individual Participant Data (IPD) requested from this study will need to remain in the Vivli secure research environment. As such, there should be no attempt to try to remove IDP data or re-identify individual participants in the study you are requesting. Per your signed Data Use Agreement, any results derived from your analysis in the Vivli Research Environment, which will be used in subsequent presentation or publication, should be obtained by submitting a request for removal of this data.

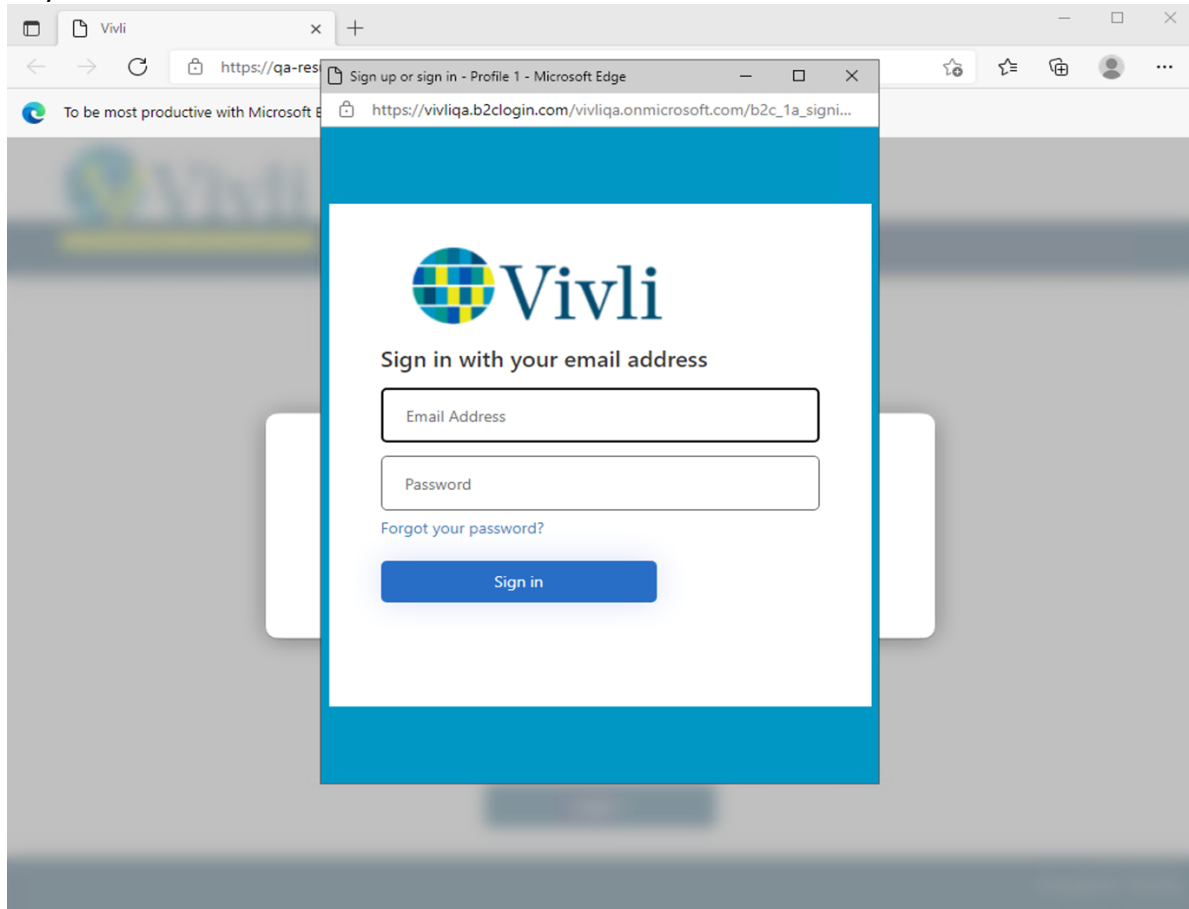
Results exports should not include any individual participant data in the results. Acceptable results export is limited to summary representation of data (e.g. means, standard deviations, counts), derived data (e.g. slopes, clin pharm parameters) data, analytical and representations of results (e.g. graph), scripts or programs that you developed in the Research Environment. The following graphic is an example of a request to export results spreadsheet, including IPD, which would not be approved.

ID	TRTMT	AGE	RACE	SEX	EJF PER	EJFMETH	CHESTX	BMI	KLEVEL	CREAT	DIGDOSE	CHFDUR	RALES	ELEVJVP	PEDEMA	RESTDYS	EXERTDYS	ACTLIMIT	S3	PUL
1	0	66	1	1	40	2	0.5	20.073		1.261	0.25	96	0	0	0	0	0	1	1	0
2	0	77	1	1	12	1	0.56	20.701	3.1	1.5					0	0	0	2	2	1
3	0	72	1	2	36	1	0.68	25.53	5.1	1.2	0.25	12	2		0	0	2	3	3	0
4	1	57	1	1	31	1	0.48	25.794		1.25	0.25	24	2	2			0	3	3	3
5	0	74	1	1	15	1	0.53	25.654		1.466	0.375	288	2	2			2	2	0	0
6	0	69	2	2	45	1	0.7	27.7		1.2	0.25	84	2	2			2	3	3	2
7	1	64	1	2	30	1	0.52			1.3	0.25	31	0	0			0	1	0	0
8	1	60	2	1	39	1	0				0.25	6	0				2	2	2	0
9	0	74	2	1	33	3		23.68			0.25	12	2				2	3	3	2
10	1	64	1	2	24	1	0.2	28.697			0.25	33	2				2	3	2	0
11	1	61	1	2	44	3		0.5	34.963				3				3	3	3	0
12	1	56	1	1	23	1		0.5	21.144	4.6			105		0		1	1	3	0
13	0	66	1	2	42			0.51	32.802	3.8					2		2	1	3	3
14	0	49	1	1	37			0.54	26.469	3.5	1.1				0		0	3	3	0
15	0	74	1	1	39			0.56	34.704	4.6	0.7				2	3	0	2	3	3
16	0	73	1	1	40			0.45	26.5	4.1	0.932				0	0	2	3	3	0
17	1	69	2	1	30			0.4	30.362	4.8	0.909				1	1	1	1	1	1
18	0	49	1	1	26			0.58	27.763	4	1.5				0	3	3	3	3	0
19	1	65	1	1	20			0.59	27.734	4.3	1.8					2	2	2	3	2
20	1	78	1	1	31	3		0.51	26.582	4.6			70		2		2	2	1	1
21	1	71	1	1	37	1		0.45	21.304				48				2	1	1	0
22	0	35	1	1	35	3		0.7	24.546		0.25	8	3				3	3	3	1
23	1	56	1	2	11	2		0.3	31.89		0.25	4	0				3	3	1	0
24	1	57	1	1	30	1		0.5			0.25	48	0				3	3	3	0
25	0	76	1	1	25	3		0.43		1.6	0.25	27	2	2			2	3	3	3
26	1	60	1	1	26	1		0.5	23.5	1.42	0.25	6	0	1			0	1	2	1
27	0	65	2	2	34	1		0.48	27.673	1.6	0.25	22	0	0			0	2	2	2
28	0	60	1	1	24	1		0.5	31.673	1.75	0.25	36	2	0			2	3	2	3
29	1	69	1	1	41	1		0.39	23.493	3.8		0.25	35	0	0		0	2	0	3
30	1	69	1	1	30	3		0.59	29.01	4.7	1.2				0	2	3	3	3	0
31	1	74	1	2	30	3		0.72	24.691	3.8	1.5	0.25	84	0	0	0	0	3	3	0

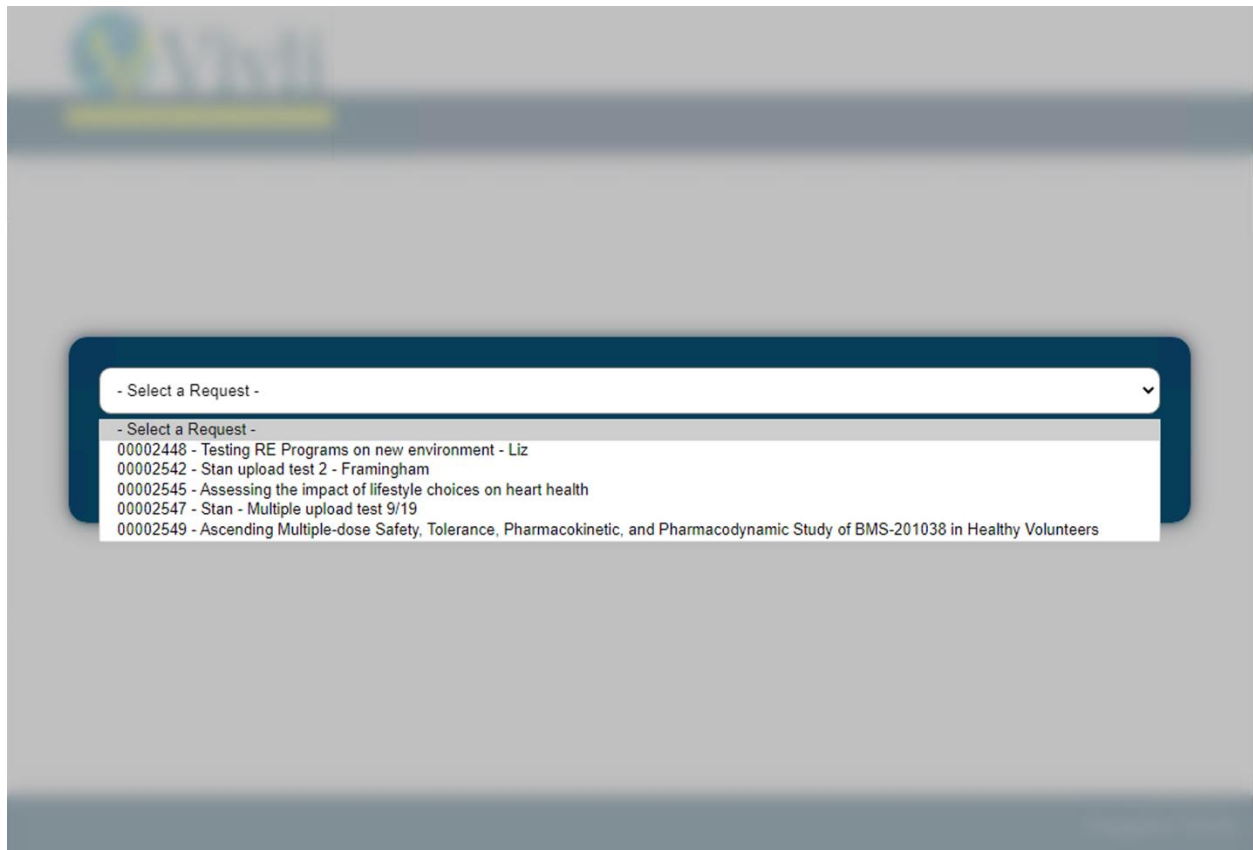
Please note that all results should be submitted through the results export option.

8.1 Request to Export Results

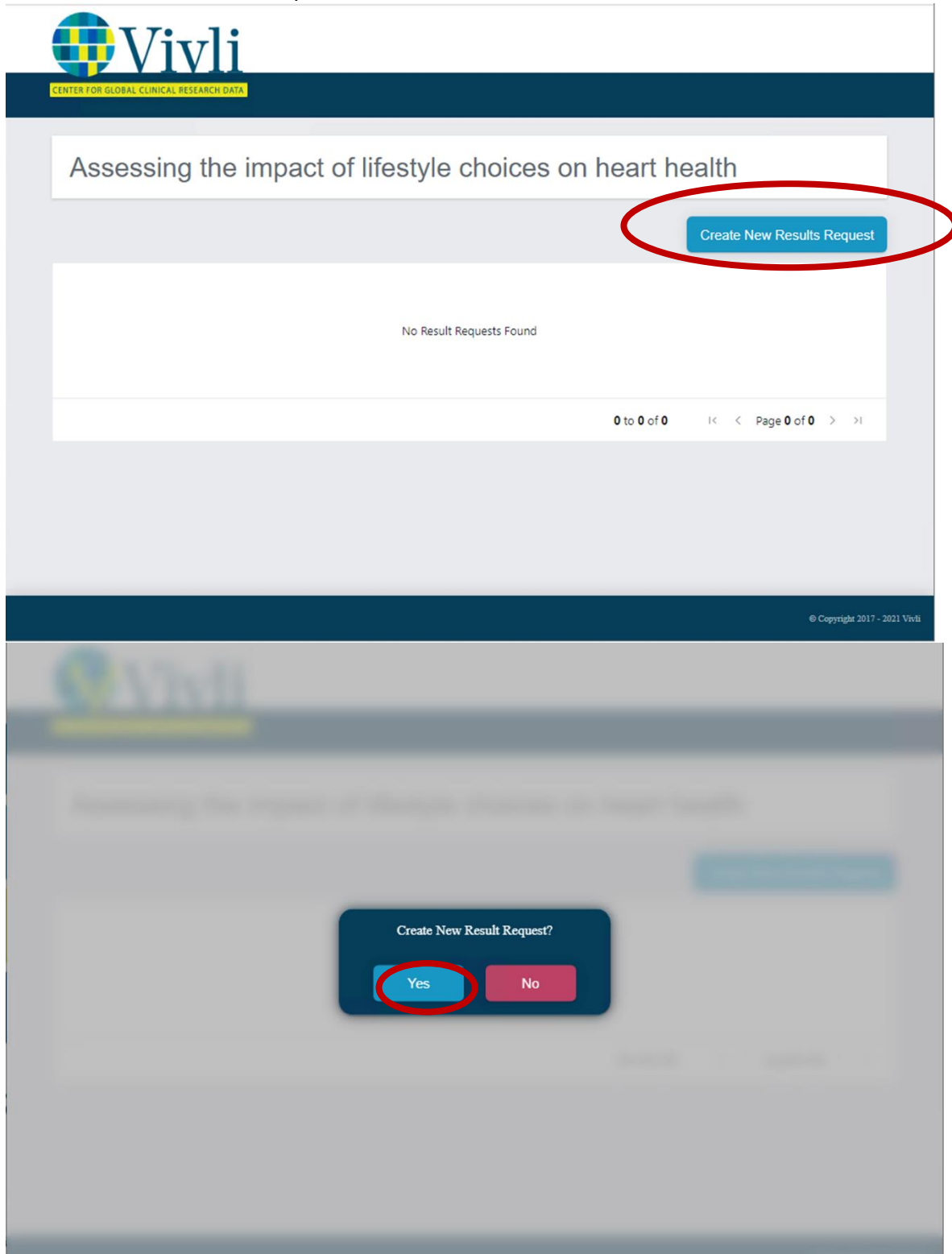
1. From the Vivli Research Environment, double click the Export Results icon on the Desktop.
2. Enter the Vivli User credentials you use to log onto the Vivli Platform – your username will be your email address.



3. From the dropdown menu, select your Vivli request. Please note, if you have more than one Vivli request which has a secure research environment, each request will appear in the menu.




4. Create a new results request.



5. Drag and drop, or select files, to copy your files into the export folder. If your results are organized into more than one folder, we recommend that you place the files into a single zip file before placing them in the results folder. 7-zip is included on the research

environment for that purpose. Similarly, if you have more than 5-10 files, place them into a single zip file.



CENTER FOR GLOBAL CLINICAL RESEARCH DATA

Assessing the impact of lifestyle choices on heart health


Requesting export of research results

To request export of research results, use Drag and Drop to drop the requested files onto the "Drop files here" control, then click submit when they are complete. When the results have been reviewed and approved, you will connect to the main Vivli Platform to download the results. Reminder - exporting individual participant data is not allowed.

NO FILES IN PACKAGE

Select Files

Drop files here



CENTER FOR GLOBAL CLINICAL RESEARCH DATA

Assessing the impact of lifestyle choices on heart health

Requesting export of research results

To request export of research results, use Drag and Drop to drop the requested files onto the "Drop files here" control, then click submit when they are complete. When the results have been reviewed and approved, you will connect to the main Vivli Platform to download the results. Reminder - exporting individual participant data is not allowed.

Select Files

UPLOADED FILES

Filename	Size	Uploaded By	
result export.txt	0.02kB	Data Requester	<div>Delete X</div>

Submit Files

6. Submit files for review.

The screenshot displays the Vivli web interface. At the top, a dark blue modal dialog titled "Submit Files?" is centered. It contains the text "Are you sure all files have been uploaded? This action cannot be undone." and two buttons: a blue "Yes" button and a pink "No" button. The "Yes" button is circled in red. Below the dialog, the Vivli logo and tagline "CENTER FOR GLOBAL CLINICAL RESEARCH DATA" are visible. The main content area shows a data request titled "Assessing the impact of lifestyle choices on heart health" with a subtext "(Request by Data Requester on 2021-10-01)". A blue button labeled "Create New Results Request" is positioned to the right. Below this is a table with a dark blue header and a white body. The table has three columns: "2021-10-01", "Data Requester", and "Result Request in Progress". The body is currently empty. At the bottom of the table, there is a pagination control showing "1 to 1 of 1" and navigation arrows. Below the table, a message states "Results not yet available for this request."

Submit Files?

Are you sure all files have been uploaded? This action cannot be undone.

Yes No

Vivli
CENTER FOR GLOBAL CLINICAL RESEARCH DATA

Assessing the impact of lifestyle choices on heart health (Request by Data Requester on 2021-10-01)

Create New Results Request

2021-10-01	Data Requester	Result Request in Progress
------------	----------------	----------------------------

1 to 1 of 1 |< < Page 1 of 1 > >|

Results not yet available for this request.

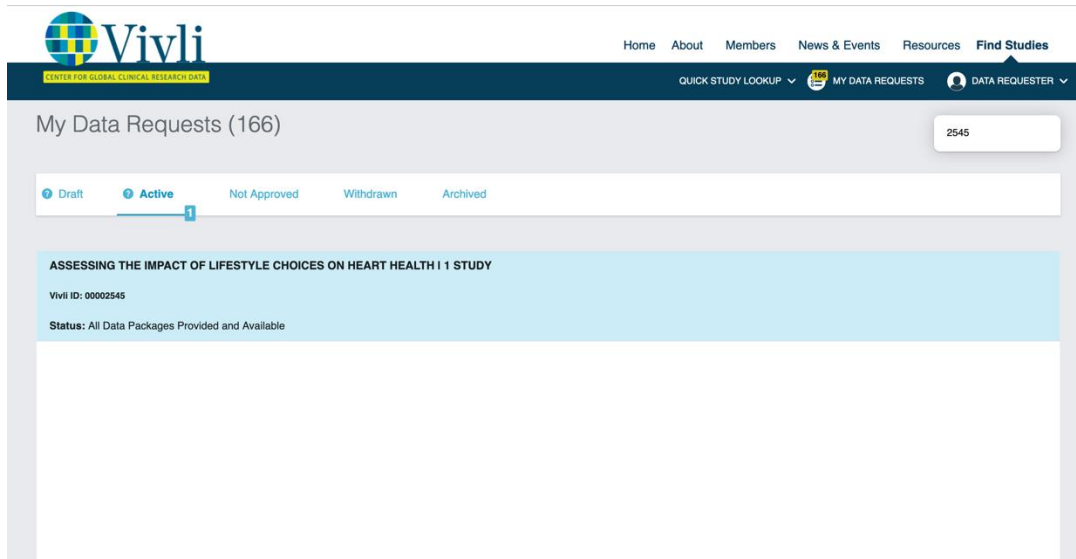
Please note, the processing time for a results export request is 5-7 business days. You will receive an email notification when a decision is recorded on the platform and can also monitor by checking the Research Results tab on the platform.

8.2 Downloading Approved Results

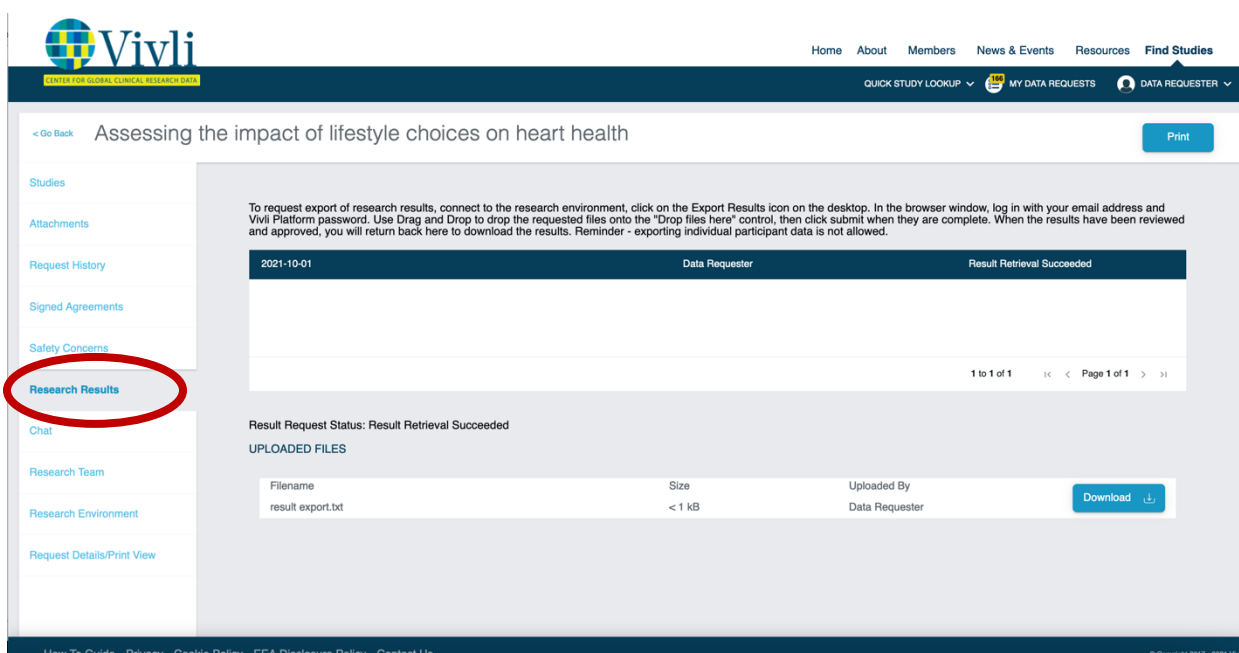
Once the request to export results is approved, the data requestor may download their results.

8.2.1 Steps, Exporting Results:

1. Login to the Vivli Platform
2. Navigate to **My Data Requests** and select the request:



3. Click on **Research Results**:



If you have made more than one request, click on the row corresponding to your most recent request – this will be on the top of the list. The row you have selected will be shown in dark blue.

4. Here, you will see if the request to export results has been approved:

The screenshot shows the Vivli platform interface for a study titled "Assessing the impact of lifestyle choices on heart health". The left sidebar contains navigation links: Studies, Attachments, Request History, Signed Agreements, Safety Concerns, Research Results, Chat, Research Team, Research Environment, and Request Details/Print View. The main content area displays a table of request history. The first row is highlighted in dark blue, indicating the most recent request. The table has three columns: Date, Data Requester, and Result. The first row shows a date of 2021-10-01, Data Requester, and Result Request In Progress. The second row shows a date of 2021-10-01, Data Requester, and Result Retrieval Succeeded. Below the table, it says "Results not yet available for this request."

5. If the request to export results has been approved, click on **Download**:

The screenshot shows the Vivli platform interface for the same study. The 'Request History' table is identical to the previous screenshot. Below the table, the 'Research Results' section now shows "Result Request Status: Result Retrieval Succeeded" and "UPLOADED FILES". A table of uploaded files is displayed with the following information:

Filename	Size	Uploaded By
result export.txt	< 1 kB	Data Requester

A red circle highlights the "Download" button next to the file name.

If a review of the results identifies the presence of Individual Participant Data (IPD) in the result files, the Result Requests screen will display a message indicating that, and you will need to remove the IPD from the results files before requesting subsequent export of results again.

6. Once the **final** results are exported, please reach out to the Vivli Team via chat and we will provide next steps for deprovisioning the research environment and progression to publication.

9.0 Publication Review

9.1 Publication Follow up by Vivli

The Vivli administrator sends periodic reminders (at 3 months, 9 months and 1 year) to the researchers to get an update on the status of any potential publications from the time final results from the research environment are downloaded or a year after the downloadable data package was made available to the Data Requestor.

9.2 Publication Reviews by Data Contributors

- Once your researcher team has completed your analysis and you are ready to submit your findings for dissemination, either through a learned forum such a publication or conference abstract, requestors must submit to Vivli a copy of any Publication materials at least 30 days prior to submission. Please submit this publication using the Chat function.
 - Please submit 2 files or less for review. If there are additional files, please combine the documents into no more than 2 files (e.g. 1 file containing the final draft of your publication and 1 file containing your attachments such as supplemental figures, graphs, etc.).
- The following acknowledgement should be added to the dissemination of findings. Also, please use the following language in your acknowledgment section:

This [publication or presentation, as applicable] is based on research using data from data contributors *Data Contributor(s) Name*** that has been made available through Vivli, Inc. Vivli has not contributed to or approved, and is not in any way responsible for, the contents of this publication.**

- During this 30-day review period, data contributors may provide you with non-binding comments regarding the scientific content. They may also possibly request the deletion of any confidential information (confidential information as defined in the signed DUA).
- Once the 30-day publication review period is up or once all data contributors have commented on the manuscript, whichever comes first, the research team may submit their manuscript. The Vivli team will follow up monthly to check on the status.
- If you are submitting an abstract to a conference or learned forum and it is accepted as a poster or presentation, the new material (poster, presentation, etc.) must be submitted for the 30-day review period using the Chat function.

9.3 Publication Notification by Data Requestor

- Once your team has been notified that your publication has been accepted by the journal and the DOI for your citation made available, please let the Vivli team know via chat.

- The Vivli team will then update your data request on the Vivli website by including the statistical analysis plan and the DOI to the publication.
- The Vivli team will also add the citation to your data request on the Vivli platform. The citation will be linked to the study(s) involved in your request as well and will be viewable when viewing study details on the Vivli platform.
- If this is your final analysis for the research project, please let Vivli know and if so, we will move your analysis to long-term storage. See [section 12.0 Stopping the Research Environment](#). Vivli team will send you a survey about your experience on Vivli platform.
- If you have more publications linked to this research project, please follow step 9.2 Publication Reviews by Data Contributors for each subsequent publication.

9.4 Public disclosure not possible

- If for whatever reason, you are unable to publish your results, you must provide a summary report of your findings and Vivli will publish this report on its website. For an example see: <https://vivli.org/identification-of-biomarkers-associated-with-specific-sleep-variables/>. Vivli will then de-provision your research environment and your project will be complete.

Alternatively, you may fulfill the obligations under the Vivli DUA and submit to a pre-print server such as <https://www.medrxiv.org/>. This preprint server was started by the BMJ and Yale and is a free distribution server for preprints of articles covering all aspects of research. Once posted you would receive a DOI so it would be citable and discoverable. You can cite this on your CV and continue to submit to other journals if you like.

- Once your publication is posted on a pre-print server, please let the Vivli Team know via chat.
- The Vivli Team will follow up to check the status of publication in a peer-review journal.

9.4.1 [Reasons why analysis may not be completed](#)

If the analysis is not completed, this fact and the reason that it was not completed, should be stated as a summary of the research.

- The analysis may not be performed or completed due to the following reasons:
 - Technical - e.g. datasets can't be combined, the research questions can't be answered, data mapping isn't possible
 - Scientific - e.g. the studies can't be combined due to design differences or endpoint differences
 - Logistical - e.g. the Data Requestor loses funding or key research personnel
- According to Vivli policy, if a user fails to meet their DUA obligations and does not respond with reasons why their analysis has not been completed, they are unable to submit a subsequent request from Vivli.

10.0 Extensions to the Data Use Agreement via the Data Request Progress Report

- Access to the data listed in the approved research proposal is valid for one year from when the Data Use Agreement (DUA) is executed.
- 90 days prior to the 1-year DUA expiration, the Vivli team will reach out to the Data Requestors for an update on the data request and analysis by sending the lead investigator the Data Request Progress Report.
- The Lead Researcher fills out the Data Request Progress Report to request an extension. If additional team members from other institutions have a signed DUA, they will acknowledge the completed Data Request Progress Report to extend their access as well.
- Vivli team will follow up on the Data Request Progress Report 1 week prior to the DUA expiration.
- Based on the response, Vivli makes the decision regarding extending access to the data in 1-year intervals. These extensions are only granted due to extenuating circumstances. Vivli will respond in 10 business days with a decision that has been reached and upload the document via Signed Agreements on the Vivli platform.
- If no response is received before the end of the Data Use Agreement, Vivli team stops the Research Environment and remove the team's access to the research environment on the day of DUA expiration.
- Note: For any requests for downloadable data, Vivli team will request evidence of data destruction as per the data security addendum.
- Note that an extension to the Data Use Agreement (a legal agreement) is entirely separate from the No Charge period on the Research environment and does not extend the No Charge period.

10.1 Failure to meet DUA obligations

According to Vivli policy, if a user fails to meet their DUA obligations, they are unable to submit a subsequent request from Vivli.

11.0 Paying for a Research Environment

The cost and length of no charge periods for the Secure Research Environment access are available on the Vivli [website](#). Please note these charges are subject to change.

Note that the no charge period (i.e., the length of time where you will not be charged) begins on the date you first provision the Research Environment, which usually is later than the date that the Data Use Agreement is signed. Per Vivli policy, the no charge period for the Vivli Research Environment begins at initiation and is not impacted by the days you actually use the environment; billing is not impacted by pausing. Renewing the Data Use Agreement does not extend the no charge period.

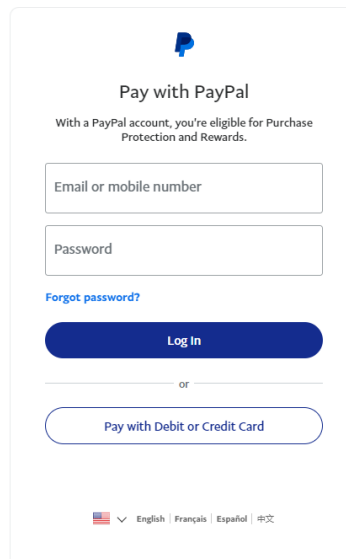
As you near the end of your no charge period, Vivli will send you notices via email to the email addresses of the research team members on the data request. In these notices we will remind you of the end date of your no charge period. **We will ask you to provide payment via a credit card once your no charge period has ended.** Charges will be automatically completed on the

same day of the month, approximately every 30 days, as when payment is first submitted for the next month's usage. A constant 30 days per month will be charged, and your subscription will be cancelled and no further charges made as soon as you submit a public disclosure for courtesy review and confirm with the Vivli team you wish to stop using your research environment.

If no payment method is arranged, access to the Research Environment will be revoked.

Please note that you will be responsible for all charges once your no charge usage period has ended. Once you have submitted a public disclosure for courtesy review and confirmed with the Vivli team that you wish to stop your secure research environment then this will stop the accrual of additional fees. See [section 12.0](#) for further information about stopping the research environment.

1. At the end of your no charge period, the Vivli team will email you with the appropriate link to sign up for subscription billing for the secure Research Environment within 1 week of your no charge period end date. The subject line will be "Action Required: Vivli Research Environment ALERT – Payment Request to Maintain Access to data request"
2. Upon opening the link, you will be directed to the PayPal page where you can login with your PayPal account or enter the credit/debit card number to be used for billing.

A screenshot of the PayPal login interface. At the top is the PayPal logo. Below it, the text "Pay with PayPal" is displayed. A smaller line of text states: "With a PayPal account, you're eligible for Purchase Protection and Rewards." There are two input fields: "Email or mobile number" and "Password". Below the password field is a link that says "Forgot password?". A blue "Log In" button is positioned below the input fields. Below the button is the word "or" and a rounded rectangular button labeled "Pay with Debit or Credit Card". At the bottom, there is a language selection bar showing a flag icon and the text "English | Français | Español | 中文".

PayPal G

We don't share

Country/Region
United States

Card number

Expires

CSC

First name

Last name

Billing address

Street address

Apt., ste., bldg.

City

State

ZIP code

☒ Ship to my billing address

Vivli INC

Subscription Details:

\$750.00 USD for each month
(Renews until you cancel)

Starts on: Feb 21, 2023

Monthly Premium Research
Environment Usage

\$750.00 USD

Total

\$750.00 USD

PayPal is the safer, easier way to pay

No matter where you shop, we keep your financial information secure.

- After entering the credit card details, click the Agree & Subscribe button. Please note that you will be charged every 30 days on approximately the same date each month going forward.

Contact Information

Phone type
Mobile

Phone number
+1

Email

**The security you want.
The protection you deserve.**

Creating a PayPal account is optional but you'll get **PayPal Purchase Protection** on all eligible purchases, plus faster checkout every time you shop. [See terms](#)

Create a PayPal account? (It only takes a moment.)

☐ Yes, I'd like a PayPal account.

Password

☐ No, I don't want an account now.

We'll save your PayPal info for future purchases with Vivli INC. If you hold a balance, we'll use it first. If your chosen payment method is unavailable, we'll try the other payment methods in your wallet.

Agree & Subscribe

- Lastly, you should receive confirmation from PayPal that you have successfully signed up for your subscription for the Research Environment and also a receipt of your first payment to the email address shared with PayPal.

If you have any questions about this process, please contact Vivli at support@vivli.org.

12.0 Pausing the Research Environment

- When you have submitted your publication for review and are awaiting feedback, you may reach out to the Vivli Team in chat to request a “pause” of your research environment. This will preserve any remaining no charge period days for subsequent analysis from peer review feedback. If you are actively paying for your environment, requesting to “pause” will stop the accrual of additional fees.
- Once your research environment has been stopped, this will stop the accrual of additional fees until a request to re-access has been submitted.
- **Important:** Before requesting to “pause” the environment, please copy any data that you might need later access to onto the Network Drive (Drive V:\). Once disabled, access to content in the Research Environment will be terminated. Note that long-term archive will ONLY save the contents of drive V. Data saved in any other drive will be deleted: so please place any essential data to Drive V. Remember to check your remote desktop and documents folders for any data you wish to be archived.
- If you need access to data while your request is “paused”, simply reach out to the Vivli Team in chat or via support@vivli.org and will provide next steps for resuming your analysis.

13.0 Deprovisioning the Research Environment

- When you have completed your research, exported your final results and published your findings, the Vivli team will provide next steps, as needed, to deprovision your research environment and prepare data for long term archive. Please reach out when you have saved all data to which you may need future re-access to the V:drive then reach out to the Vivli Team via support@vivli.org to request deprovisioning.
- **Important:** Before requesting to deprovision the environment, please copy any data that you might need later access to onto the Network Drive (Drive V:\). Once disabled, access to content in the Research Environment will be terminated. Note that long-term archive will ONLY save the contents of drive V. Data saved in any other drive will be deleted: so please place any essential data to Drive V.
- Shortly after your research environment has been marked for deprovisioning, Vivli will place a copy of the contents of the data disk into long-term archive.
- If you need access to data held in the Vivli long-term archive, please send a request to the Vivli Team via support@vivli.org including the Data Request number and Project Name. Processing this request takes 5-7 days.
- If you need access to long-term storage data for a new research project, to address a different question and/or if you are requesting additional data, you will need to create and submit a new data request on the Vivli platform. In the Narrative, reference the original data request, including the request number.

If you accidentally deprovision a research environment, contact Vivli at support@vivli.org. Vivli will re-provision the environment, including any results files.

14.0 Downloadable data

Some Data Contributors will allow you to download their data directly from the Vivli Platform using the following process:

1. Log in and open your approved data request:

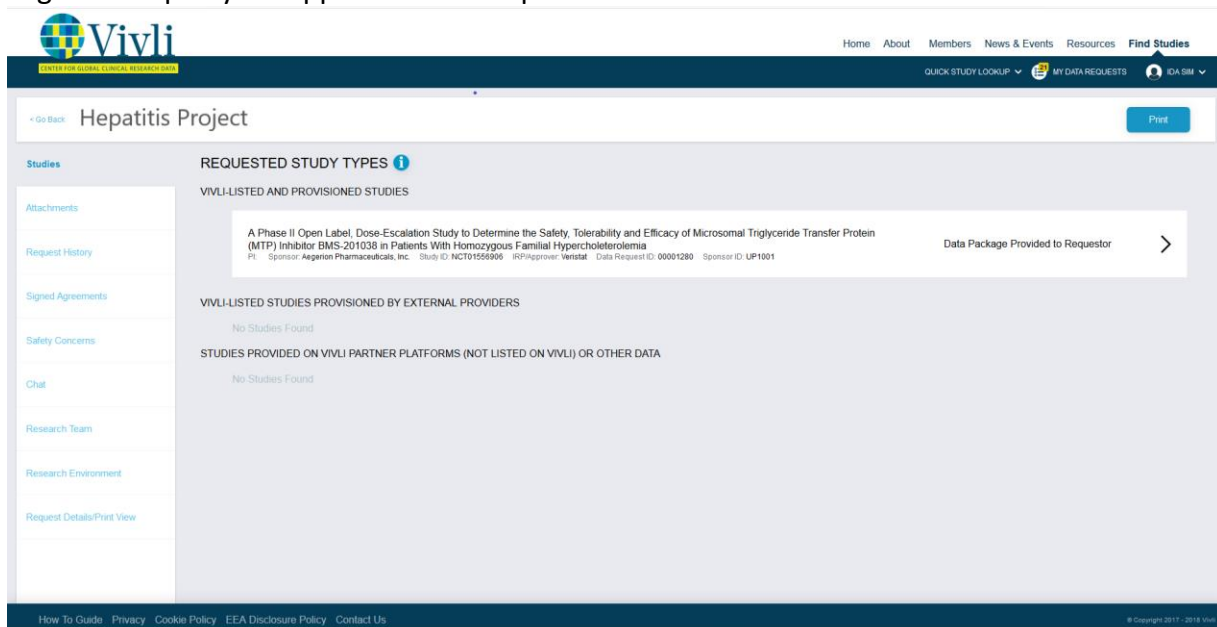


Figure 26 - Approved Data Request

2. Click on the study to get to the **Study details** screen:

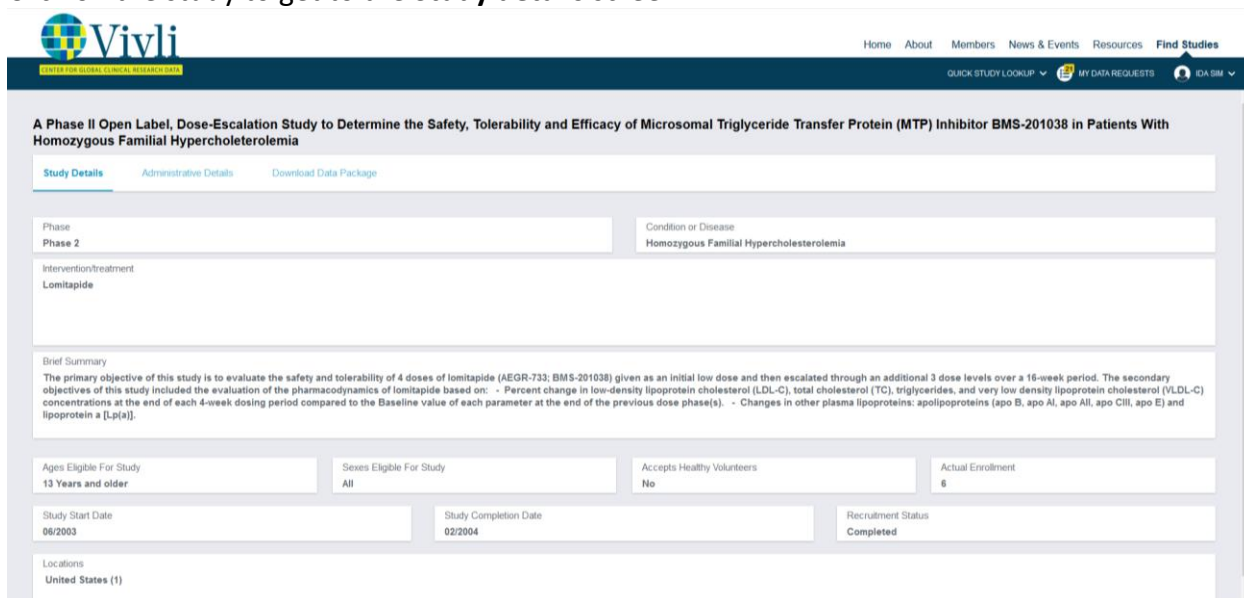


Figure 27 - Study details screen

3. Click on Download Data Package:

The screenshot shows the Vivli website interface. At the top, there is a navigation bar with links: Home, About, Members, News & Events, Resources, and Find Studies. Below this is a header for the study: "A Phase II Open Label, Dose-Escalation Study to Determine the Safety, Tolerability and Efficacy of Microsomal Triglyceride Transfer Protein (MTP) Inhibitor BMS-201038 in Patients With Homozygous Familial Hypercholesterolemia". The "Download Data Package" tab is highlighted with a red box. Below the tabs, there are several sections: "Phase" (Phase 2), "Condition or Disease" (Homozygous Familial Hypercholesterolemia), "Intervention/treatment" (Lomitapide), "Brief Summary" (The primary objective of this study is to evaluate the safety and tolerability of 4 doses of lomitapide...), "Ages Eligible For Study" (13 Years and older), "Sexes Eligible For Study" (All), "Accepts Healthy Volunteers" (No), "Actual Enrollment" (6), "Study Start Date" (06/2003), "Study Completion Date" (02/2004), "Recruitment Status" (Completed), and "Locations" (United States (1)).

Figure 28 - Download Data Package

4. This will take you to the Download screen:

The screenshot shows the Vivli website interface. At the top, there is a navigation bar with links: Home, About, Members, News & Events, Resources, and Find Studies. Below this is a header for the study: "A Phase II Open Label, Dose-Escalation Study to Determine the Safety, Tolerability and Efficacy of Microsomal Triglyceride Transfer Protein (MTP) Inhibitor BMS-201038 in Patients With Homozygous Familial Hypercholesterolemia". The "Download Data Package" tab is selected. Below the tabs, there is a section titled "DOWNLOADABLE DATA PACKAGE - PRESS DOWNLOAD BUTTON FOR EACH FILE". Below this is a table titled "UPLOADED FILES" with the following data:

Filename	Size	Uploaded By	File Type	Download
test IPD.xlsx	6.00kB	Jessica B Baker	IPD	Download
Test Protocol.docx	13.00kB	Jessica B Baker	Protocol with Amendments	Download
Test SAP.docx	13.00kB	Jessica B Baker	Statistical Analysis Plan	Download
TestFile.docx	12.00kB	Jessica B Baker	Data Dictionary	Download

Figure 29 - Download Screen

5. Depending on your browser, a pop-up will appear:

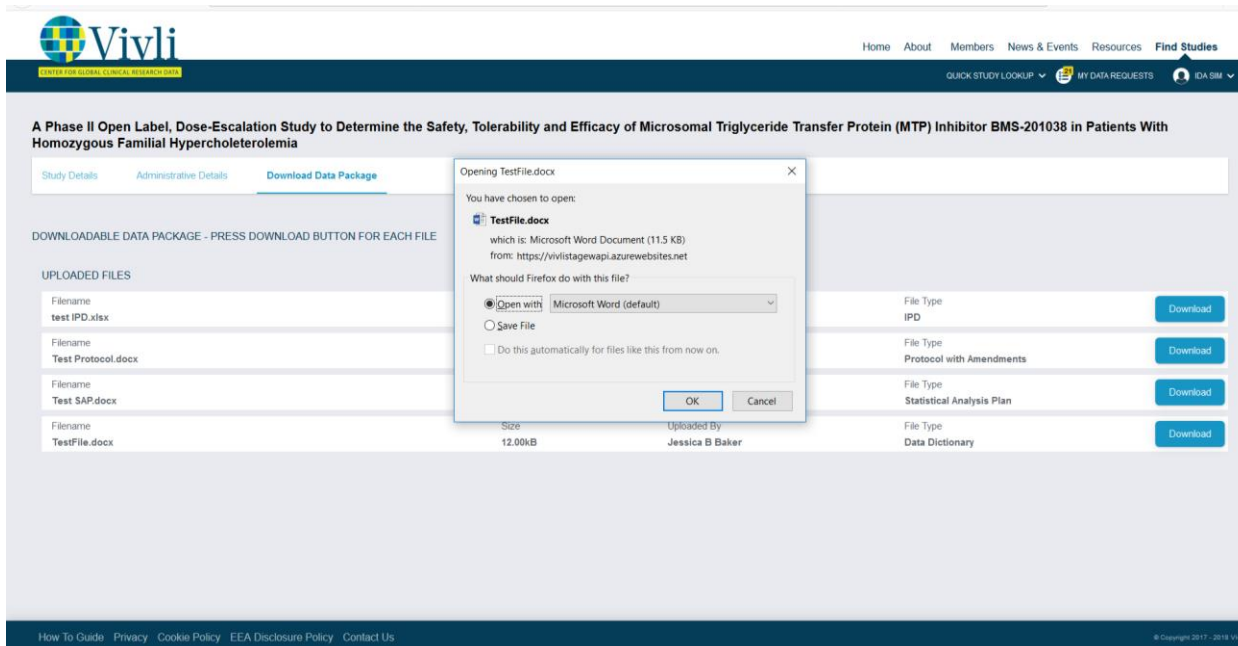


Figure 30 - Downloadable data file selection pop-up

6. Select the target file, choose whether you would like to Save or Open the files and click **Ok**.
7. Repeat Step 6 for any additional files.

Tips for downloading files from Vivli

When files are available for direct download from the Vivli platform, the usual approach to downloading files is to open the approved data request, open the studies tab, click on the "card" for the study, go the download data package tab and click "Download" for each individual file that you need to download.

If the size of the file is more than 1000MB, you should take a few extra precautions before starting the download

- In your computer settings, set Power Plan to sleep "Never" when plugged in. (sleeping will interrupt the download)
- Once you start the download, leave the computer running and the browser open.
- The progress of the download is shown in different places depending on the browser (for example for Chrome it is shown in the lower left, and for Firefox it is shown in the upper right - note that for Firefox, the progress pop-up is taken down after a few minutes - you can check back on progress by clicking on the download icon on the upper right)
- If the download takes more than 30 minutes, the Vivli Platform will automatically log you out, but the download will continue until it is complete.
- Do not download more than one file at a time

The time the data will take to download can vary a great deal based on your available bandwidth, the size of the file(s), the time of day, what else is happening on your local network (e.g. Zoom calls can use up bandwidth) and even how full or fragmented your disk is. To

provide a *very* general guideline, in home environments, download time can vary from 1 minute per gigabyte (for a network with 400 Mbps download speed) to 15 minutes or more. When downloading using a business address or a high-end fiber network, it may be faster, sometimes much faster.

Other download tips:

- If it is practical, often downloading is faster in the evening or overnight, as you are competing with less traffic on the internet.
- For large downloads, before starting the upload, it can be useful to reboot your computer - this can free up some memory and reset some elements of the operating system.

Finally, if the total volume of data to download is much greater than 25 Gb or so, or if you have problems with large downloads (such as network glitches, other errors, or the download just takes too long) reach out to Vivli at support@vivli.org - we can provide instructions for using a Microsoft upload/download manager that takes a few extra steps, but is faster and more reliable.

If the files have extensions of the form .001, .002, then this was a large zip file that was split into pieces by 7-zip for ease of upload and download. Make sure you download all of the numbered files into the same folder. If you don't already have 7-zip, download a copy of 7-zip from <https://www.7-zip.org/download.html>. Right-click on the .001 file and drag to a new folder, and from the pop-up menu, choose 7-zip -> Extract here. The utility 7-zip knows enough to combine the pieces logically together as part of the unzip operation.

15.0 Feedback and Support

If you need technical help with your research environment, please email Vivli at support@vivli.org and we will assist you as soon as possible. Please provide as much information as you can to the problem, including the research proposal number, the time the problem and any messages you received from the platform occurred as this will make it easier for Vivli to diagnose and fix the issue.

If you ever have thoughts on how to improve the system or processes, please email support@vivli.org or reach out to Vivli via chat. When you have completed your project, Vivli will ask you to complete a short survey to help us continue to improve.